
Unissued

In Treasury

4. If applicant is an individual, partnership, or association, give full name, residence, address, nationality and nature and amount of investment of all members thereof; if a corporation, furnish the same information for all corporate officials*, directors and for all stockholders including other corporations or business organizations owning or holding directly, indirectly or beneficially ten percent or more of the total authorized stock of the corporation.

NOTE: All persons listed below must be licensed as secondary licensees. Horse Racing Act Section 60-1-6-C.

*Corporate Officials includes the president, vice president, secretary and treasurer and every other officer or employee who performs policymaking or managerial functions for the applicant.

Name	Address	Title	Shares Issued	Nature and/or % Of Interest

5. List below the names and addresses of any persons not listed in 4 who will receive directly or indirectly any compensation or rents based on a percentage or share of the proceeds of the horse racing meet.

Name	Address	Title	Nature and/or Percentage of Interest

6. List below the names and addresses of any persons or organizations that have or will loan or advance money to the applicant to finance the horse race meetings.

NOTE: All persons or organizations listed below must be licensed as secondary licensees. Horse Racing Act 60-1-6-C

Name	Address	Amount of Advance for Loan	Security for Advance for Loan

Set forth below any assets encumbered by any loan or advance, and the terms of the agreement creating any security interest. (Attach a copy of the security agreement.)

7. Outline briefly all options, dividend rights, voting rights, liquidation rights, pre-emptive rights, conversion rights and redemption provisions relating to treasury stock, or to stock issued, or authorized to be issued, by the corporation.

8. May the rights of holders of stock be modified otherwise than by a vote of majority or more of the shares outstanding, voting as a class? Yes _____ No _____ if yes, explain briefly.

9. If the applicant is other than an individual, was the applicant organized within the past five years? Yes _____ No _____. If yes, furnish the following information: the names of any promoters, the nature and amount of anything of value received by each promoter directly or indirectly from the applicant, and the nature and amount of any assets, services or other consideration therefore received, or to be received, by the applicant.

10. All applicants must complete the attached balance sheets and profit and loss statement or must attach balance sheets and profits and loss statements containing substantially the information required by the attached balance sheets and profit and loss statements for each of the three fiscal years immediately preceding the application, or for the period of organization if less than three years. If the applicant has not completed a full fiscal year since its organization or if it acquires or is to acquire the major of its assets from a predecessor within the current fiscal year, the financial information shall be given for the current fiscal year. All financial information shall be accompanied by an unqualified opinion of a certified public accountant licensed in New Mexico or if the opinion is given with qualifications, the reasons for the qualifications must be stated.
11. The applicant must submit written proof of the system of security services to be provided at the track. Such services must include access to the Federal Bureau of Investigation identification records. Horse Racing Act 60-1-11-G.
12. CONCESSIONAIRES: New Mexico law requires that all concessionaires and their employees must individually obtain occupational licenses from the Racing Commission. Horse Racing Act 60-1-5-A. Copies of all concession contracts must be attached to this application. Any Amendment or revision must be filed with the Commission for approval of disapproval.

Name/Address of Concessionaire	Contact Person	Type

13. OTHER STATE REGISTRATIONS:
- a. Taxation and Revenue Department tax identification number _____
 - b. If the applicant is a corporation, have all New Mexico laws relating to corporations been complied with? Yes _____ No _____
- Name of registered agent _____
- Address _____

RACING OPERATIONS

14. Applicant hereby requests racing dates for the calendar year _____ as follows:

MONTH	DAYS OF MONTH	TOTAL

Total Days Requested: _____

15. Types of races primary licensee requests to conduct. State in the chart the number of each type of race in each purse size and the dollar value of added money, if any.

Minimum Purse Size (4)	TWO YEAR OLDS			THREE YEAR OLDS & OLDER			
	Thoroughbred Races	NM Bred (1)	Other Bred (2)	Added Money (3)	NM Bred (1)	Other Bred (2)	Added Money (3)
Less than \$1,000				\$			\$
\$1,000 - \$2,000				\$			\$
\$2,000 - \$3,000				\$			\$
\$3,000 - \$4,000				\$			\$
\$4,000 - \$5,000				\$			\$
\$5,000 - \$6,000				\$			\$
\$6,000 & above				\$			\$
TOTAL				\$			\$
Quarter Horse Races							
Less than \$1,000				\$			\$
\$1,000 - \$2,000				\$			\$
\$2,000 - \$3,000				\$			\$

18. County of municipality in which proposed track is located _____.

19. Legal description of proposed site _____.

20. Title holders of real property of proposed site:

21. Mortgage and Security Interests in Real Property:

22. Distance of track from nearest population center _____ miles.

23. Briefly describe transportation facilities serving track from nearest population center.

24. Indicate the exact dimensions of the track

25. Describe the grandstand size and type of construction. Submit at least one copy of a photo or the architect's renderings showing detail of the present or proposed construction.

26. Briefly describe the efforts made to insure the security, safety and comfort of patrons and license holders.

27. State the availability of fire protection and adequacy of law enforcement and police protection.

28. Indicate the parking lot capacity and describe the construction and type of parking facilities.

29. Indicate the number and type of construction of stables, other barn areas, forecourt and paddock areas, indicating capacities and fire prevention facilities for all areas.

30. Briefly describe the provisions for facilities for owners, trainers, jockeys, grooms and other racing personnel.

31. Briefly describe the arrangements for food and drink concessions, clubs and special facilities for patrons.

32. Describe in general the track's pari mutuel operations.

33. Describe in particular the denomination of pari-mutuel tickets to be sold.

34. List all insurance policies in force and the coverage under each policy. **MUST BE SUBMITTED ANNUALLY.**

35. Will you lease the track at which you propose to conduct a horse race meeting? Yes _____ No _____ if yes, state name and address of owner. Attach a copy of the lease agreement with this application. If track is leased all lessors must be licensed as secondary licensees.

36. Describe in detail how stables are assigned.

37. Are any individuals or groups or classes of individuals given a preference in the assignment of stables? Yes _____ No _____ if yes, describe preference in detail.

38. Describe the climatic conditions prevalent during the proposed racing season.

39. Indicate the population of the local area and the growth trend.

40. Principal sources of income in the area of the track:

Ranching and farming	_____	%
Industrial	_____	%
Professional and services	_____	%
Military and other governmental	_____	%
Other (specify)	_____	%

41. Do you anticipate opposition to the granting of this application from any residents of the area? Yes _____ No _____ If yes, explain the effect such opposition will have on the economic outlook for the track.

STATE OF

ss.

COUNTY OF

I, _____, under penalty of perjury being duly sworn, depose and say that the above statements are true and correct and that this application and accompanying documents are executed with the knowledge that misrepresentations or failure to reveal information requested may be deemed sufficient cause for denial of a license and criminal prosecution.

The application for a license, which I have submitted, is a matter of public record. Furthermore, I understand that the State Racing commission will cause an investigation to be made of my background, both personal and financial. Subsequently, I understand that I may be asked to answer questions in open public meetings of the Commission.

I hereby authorize any and all persons having information concerning me, either of public record or otherwise, to furnish it to a duly authorized agent of the State Racing Commission.

I hereby expressly waive, release and forever discharge the state of New Mexico, and its agents, from any and all manner of action and causes of action whatsoever, which I, my administrators or executors can, shall, or may have against the state of New Mexico, or its agents, as a result of my applying for a license to conduct a horse race meeting in the state of New Mexico.

Signature

Subscribed and sworn to before me this _____ day of _____, 200__.

My commission expires: _____

Notary Public

BALANCE SHEET

Name	Year	Year	Year
BALANCE SHEET ITEMS AS OF:			
	Dollars	Dollars	Dollars
ASSETS			
Cash			
Accounts Receivable			
Less Reserve for Bad Debts			
Accounts Receivable Net			
Inventory			
TOTAL CURRENT ASSETS			
Land and Buildings			
Machinery and Equipment			
Less Reserve for Depreciation			
NET FIXED ASSETS			
Prepaid Items			
TOTAL ASSETS			
LIABILITIES			
Notes Payable			
Accounts Payable			
Accruals			

Reserve for Income Taxes

Current Maturities Term Debt

TOTAL CURRENT LIABILITIES

Long Term Notes

Real Estate Mortgages

TOTAL LIABILITIES

SUBORDINATED DEBT

Preferred Stock

Common Stock

Capital Surplus

Earned Surplus

TOTAL NET WORTH

TOTAL LIABILITIES AND NET WORTH

NAME	Year	Year	Year
OPERATIONS FOR THE PERIOD ENDING			
	Dollars	Dollars	Dollars
Total Receipts from Wagering			
Other Income			
Gross Profit			
Executive Salaries			
Interest			
Bad Debts			
Depreciation			
Other Salaries			
TOTAL GENERAL AND ADMINISTRATIVE EXPENSES			
OPERATING PROFIT			
Other Charges			
NET PROFIT BEFORE INCOME TAXES			
Income Taxes			
NET PROFIT			
Beginning Net Worth			
Net Profit			
Dividends and Withdrawals Ending Net Worth			

Beginning working Capital

Funds Provided

Net Profit

Depreciation

Funds Applied

Dividends or Withdrawals

Fixed Assets

I certify that I am a certified public accountant licensed to practice in New Mexico, that I have examined the foregoing statement of Assets and Statement of Liabilities, that my examination was made in accordance with generally accepted auditing standards and accordingly included such tests of the accounting records and such other auditing procedures as I considered necessary under the circumstances and that the foregoing Statement of Assets and Statement of Liabilities fairly represents the Assets and Liabilities of _____ for the year then ended, in conformity with generally accepted accounting principles applied on a basis consistent with that of the previous year. EXCEPTIONS, IF ANY (Explain fully below).

Certified Public Accountant

Subscribed and sworn to before me this _____ day of _____, 200__.

Notary Public

My Commission Expires: _____.

State of New Mexico

ss.

County of

_____ under the penalty of perjury being duly sworn, deposes and says that the above statements are true and correct to the best of his/her knowledge and belief and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal to issue or revocation of a state horse racing license and criminal prosecution.

Applicant

Subscribed and sworn to before me this _____ day of _____, 19 ____.

Notary Public

My Commission Expires: _____.