

Ruling #:

2017-ZIA-157

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

MARTINEZ, EDGAR S

License Type:

2016 3 Year Jockey

Applicant Id:

35567

License Number: 283678

Ruling #

2017-ZIA-157

Action Type:

Initial Ruling

Ruling:

Jockey Edgar S. Martinez, having been summoned and having been represented by jockey Flavio Lozano before the Board of Stewards November 12, 2017, for careless riding during the running of the 4TH race on November 11, 2017Zia Park Racetrack, while aboard "DMG FUNNY FACE".

For this violation, the Board of Stewards assessed Edgar S. Martinez, a suspension of 4 race days (November 20, 2017, November 22, 2017, November 25, 2017, and November 26, 2017). Edgar S. Martinez is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dealet Smith



Ruling #:

2017-ZIA-158

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

SIGALA, ALFREDO S.

License Type:

2015 3 Year Jockey

Applicant Id:

158216

License Number: 279889

Ruling #

2017-ZIA-158

Action Type:

Initial Ruling

Ruling:

Jockey Alfredo Sigala, having been summoned and having appeared before the Board of Stewards November 12, 2017, for careless riding during the running of the 4TH race on November 11, 2017Zia Park Racetrack, while aboard "SHEZ N WINE".

For this violation, the Board of Stewards assessed Alfredo Sigala, a suspension of 4 race days (November 20, 2017, November 22, 2017, November 25, 2017, and November 26, 2017). Alfredo Sigala is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey.'

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dealit Smith



Ruling #:

2017-ZIA-159

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

BACHICHA, JR ELLIOT JUAN

License Type:

2017 3 Year Jockey

Applicant Id:

29630

License Number: 287850

Ruling #

2017-ZIA-159

Action Type:

Initial Ruling

Ruling:

Jockey Elliot Bachicha Jr., having been summoned and having been represented by jockey Flavio Lozano before the Board of Stewards November 12, 2017, for careless riding during the running of the 7TH race on November 11, 2017Zia Park Racetrack, while aboard "PERRY TEES JET".

For this violation, the Board of Stewards assessed Elliot Bachicha Jr, a suspension of 4 race days (November 20, 2017, November 22, 2017, November 25, 2017, and November 26, 2017). Elliot Bachicha Jr is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey.'

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-160

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

LOZANO, FLAVIO

License Type:

2017 3 Year Jockey

Applicant Id:

33238

License Number: 286319

Ruling #

2017-ZIA-160

Action Type:

Initial Ruling

Ruling:

Jockey Flavio Lozano, having been summoned and having appeared before the Board of Stewards November 12, 2017, for careless riding during the running of the 6TH race on November 11, 2017Zia Park Racetrack, while aboard "PERRY TEES JET".

For this violation, the Board of Stewards assessed Flavio Lozano, a suspension of 4 race days (November 20, 2017, November 22, 2017, November 25, 2017, and November 26, 2017). Flavio Lozano is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race.'

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Nevert Smith



Ruling #:

2017-ZIA-161

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

MEDRANO, ALBERT T.

License Type:

2016 3 Year Jockey

Applicant Id:

20151

License Number:

280564

Ruling #

2017-ZIA-161

Action Type:

Initial Ruling

Ruling:

Jockey Albert Medrano having been summoned and having appeared before the Board of Stewards on November 12, 2017, for failure to make sufficient effort to control his mount "TRUST ME NOT" in the 6TH race on November 11, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses, Albert Medrano, a fine in the amount of two hundred dollars, (\$200.00). This fine is to be paid on or before December 13, 2017, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found in violation of:

15.2.5.13(E)(3)(d) NMAC: Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-162

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

FINCHER, TODD W.

License Type:

2016 3 Year Trainer

Applicant Id:

27974

License Number: 280641

Ruling #

2017-ZIA-162

Action Type:

Initial Ruling

Ruling:

Trainer Todd Fincher having been duly notified and having waived his rights to a formal hearing before the Board Of Stewards on November 13, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the class 4 Penalty C therapeutic drugs t Phenylbutazone and Flunixin (a stacking violation) found in test sample NM27568 taken from the winner "Shesapeach" following the 4th race, on September 12, 2017, at Zia Park Racetrack, in Hobbs, NM.

The original lab report indicated a finding of Phenylbutazone at 2.05 micrograms per milliliter in the blood and Flunixin at 7 nanograms per milliliter in the blood. Phenylbutazone and Flunixin were confirmed in the split sample sent to TVMDL on October 19, 2017. Phenylbutazone confirmed in the split sample at a level of 2.14 micrograms per milliliter in the blood and Flunixin was confirmed at a level of 6.3 nanograms per milliliter in the blood.

Joe Dee Brooks and Dustin Brooks, the owners of record for horse "Shesapeach", were duly notified and failed to appear for this hearing. This is their FIRST Class 4C major medication violation in a 365-day period.

For Todd Fincher's FIRST Class 4C major medication violation in a 365-day period, issued in accordance with 15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS, the Board of Stewards assessed a penalty as follows:

1. A (\$1,000) one thousand dollar fine to paid on or before December 13, 2017, in accordance with 15.2.1.9(B)(7)(f) NMAC and 15.2.3.8 (B)(3)(I) NMAC:

Licensee is found to be in violation of: 15.2.6.9 N. Non-steroidal anti-inflammatory drugs (NSAIDs)/15.2.6.9(C)(1): Prima Facie Evidence 16.47.1.8 (U)(1) PROTECTION OF HORSES /15.2.6.9(B)(4) PENALTY RECOMMENDATIONS This ruling is written in accordance with: 15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES /15.2.6.11(A): Trainer /15.2.6.11(B) Trainer Responsibility 16.47.1.10 (C) (5) TRAINER RESPONSIBILITY: /16.47.1.10(B)(1): Absolute Insurer 16.47.1.8 (L)(1)(i) – CONDUCT 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Tialet Smith



Ruling #: 2017-ZIA-163

Track Zia Park

Ruling Date: 11/13/2017

Licensee: BROOKS, JOE DEE

License Type: 2017 3 Year Owner Applicant Id: 12511

License Number: 285702

Ruling # 2017-ZIA-163

Action Type: Initial Ruling

Ruling:

Owners Joe Dee Brooks and Dustin Brooks having been duly notified, failing to appear, and had their hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board Of Stewards on November 13, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the class 4 Penalty C therapeutic drugs t Phenylbutazone and Flunixin (a stacking violation) found in test sample NM27568 taken from the winner "Shesapeach" following the 4th race, on September 12, 2017, at Zia Park Racetrack, in Hobbs, NM.

The original lab report indicated a finding of Phenylbutazone at 2.05 micrograms per milliliter in the blood and Flunixin at 7 nanograms per milliliter in the blood. Phenylbutazone and Flunixin were confirmed in the split sample sent to TVMDL on October 19, 2017. Phenylbutazone confirmed in the split sample at a level of 2.14 micrograms per milliliter in the blood and Flunixin was confirmed at a level of 6.3 nanograms per milliliter in the blood.

<u>Todd Fincher</u>, the <u>trainer</u> of record for horse "<u>Shesapeach</u>", <u>was</u> duly notified and appeared for this hearing. This is his <u>FIRST Class 4C major medication violation in a 365-day period.</u>

For Joe Dee Brooks and Dustin Brooks's FIRST Class 4C major medication violation in a 365-day period, issued in accordance with 15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS, the Board of Stewards assessed a penalty as follows:

1. The horse "Shesapeach" must pass a commission-approved examination before being eligible to be entered.

Licensee is found to be in violation of: 15.2.6.9 N. Non-steroidal anti-inflammatory drugs (NSAIDs)/15.2.6.9(C)(1): Prima Facie Evidence 16.47.1.8 (U)(1) PROTECTION OF HORSES /15.2.6.9(B)(4) PENALTY RECOMMENDATIONS This ruling is written in accordance with: 15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES / 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

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Ruling #:

2017-ZIA-164

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

BROOKS, DUSTIN TODD

License Type:

2015 3 Year Owner

Applicant Id:

10425

License Number: 277998

Ruling #

2017-ZIA-164

Action Type:

Initial Ruling

Ruling:

Owners Joe Dee Brooks and Dustin Brooks having been duly notified, failing to appear, and had their hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board Of Stewards on November 13, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the class 4 Penalty C therapeutic drugs t Phenylbutazone and Flunixin (a stacking violation) found in test sample NM27568 taken from the winner "Shesapeach" following the 4th race, on September 12, 2017, at Zia Park Racetrack, in Hobbs, NM.

The original lab report indicated a finding of Phenylbutazone at 2.05 micrograms per milliliter in the blood and Flunixin at 7 nanograms per milliliter in the blood. Phenylbutazone and Flunixin were confirmed in the split sample sent to TVMDL on October 19, 2017. Phenylbutazone confirmed in the split sample at a level of 2.14 micrograms per milliliter in the blood and Flunixin was confirmed at a level of 6.3 nanograms per milliliter in the blood.

Todd Fincher, the trainer of record for horse "Shesapeach", was duly notified and appeared for this hearing. This is his FIRST Class 4C major medication violation in a 365-day period.

For Joe Dee Brooks and Dustin Brooks's FIRST Class 4C major medication violation in a 365-day period, issued in accordance with 15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS, the Board of Stewards assessed a penalty as follows:

1. The horse "Shesapeach" must pass a commission-approved examination before being eligible to be entered.

Licensee is found to be in violation of: 15.2.6.9 N. Non-steroidal anti-inflammatory drugs (NSAIDs)/15.2.6.9(C)(1): Prima Facie Evidence 16.47.1.8 (U)(1) PROTECTION OF HORSES /15.2.6.9(B)(4) PENALTY RECOMMENDATIONS This ruling is written in accordance with: 15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES / 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.

By Order of The Zia Park Stewards

Dealth Smith



Ruling #:

2017-ZIA-165

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

GARAY, MARCO ANTONIO

License Type:

2017 1 Year Owner

Applicant Id:

160348

License Number:

287601

Ruling #

2017-ZIA-165

Action Type:

Initial Ruling

Ruling:

Owner, Marco Garay, having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards <u>on November 13, 2017</u>, for failing to disclose <u>his</u> arrest/criminal history on <u>his</u> license application <u>dated September 18, 2017</u>.

After considering the mitigating circumstances, the Board of Stewards hereby issue a warning letter to Marco Garay.

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) – CONDUCT "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(c) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-166

Track

Zia Park

Ruling Date:

11/13/2017

Licensee:

GALLEGOS, KELLY ANGEL

License Type:

2017 1 Year Assistant S Applicant Id:

21890

License Number: 287603

Ruling #

2017-ZIA-166

Action Type:

Initial Ruling

Ruling:

Assistant Starter, Kelly Gallegos, having been duly notified and having appeared before the Board of Stewards on November 13, 2017, for failing to disclose his arrest/criminal history on his license application dated September 18, 2017.

After considering the mitigating circumstances, the Board of Stewards hereby issue a warning letter to Kelly Gallegos. Kelly Gallegos was allowed to submit an amended application and advised to disclose his full criminal/arrest record on any future licensing applications.

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

This ruling is written in accordance with:

16.47.1.8 (L)(1)(i) - CONDUCT "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing. 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.

Dislet Smith



Ruling #:

2017-ZIA-167

Track

Zia Park

Ruling Date:

11/14/2017

Licensee:

GONZALES, ANTOINETTE MARIE

License Type:

2015 3 Year Trainer

Applicant Id:

26432

License Number:

246806

Ruling #

2017-ZIA-167

Action Type:

Initial Ruling

Ruling:

<u>Trainer Antoinette Gonzalez</u>, having been duly notified and responding telephonically before the Board of Stewards on <u>November 14, 2017</u>, for failure to be present in the paddock or notify the stewards of another trainer or assistant trainer to saddle entries <u>"JM BILLY THE KID" and</u> <u>"FLASH PERFECT"</u> in <u>the 3rd and 5th races on October 21, 2017</u>, at Zia Park Racetrack.

The Board of Stewards hereby assesses <u>Antoinette Gonzalez</u> a penalty in the amount of <u>two hundred</u> <u>dollars (\$200.00)</u>. The fine is to be paid on or before <u>December 14, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.10 (C)(23) TRAINER RESPONSIBILITY personally attending to their horses in the paddock and supervising the saddling thereof, unless excused by the stewards; if the trainer is excused, the trainer must secure another trainer or assistant trainer who is licensed by the commission to assume those duties

16.47.1.10 (E)(1) SUBSTITUE TRAINER If any licensed trainer is prevented from performing his duties or is absent from the track where he is participating, the stewards shall be immediately notified, and at the same time, a substitute trainer or assistant trainer, acceptable to the stewards, shall be appointed. The stewards shall be advised when the regular trainer resumes his duties

15.2.6.11(E)(13): Trainer Responsibility personally attending in the paddock and supervising the saddling thereof, unless excused by the stewards

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)
(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-168

Track

Zia Park

Ruling Date:

11/14/2017

Licensee:

GONZALES, ANTOINETTE MARIE

License Type:

2015 3 Year Trainer

Applicant Id:

26432

License Number:

246806

Ruling #

2017-ZIA-168

Action Type:

Initial Ruling

Ruling:

Trainer Antoinette Gonzalez, having been duly notified and responding telephonically before the Board of Stewards on November 14, 2017, for failure to be present in the paddock or notify the stewards of another trainer or assistant trainer to saddle entry "TAC A PEARL" in the 9th race on October 22, 2017, at Zia Park Racetrack.

The Board of Stewards hereby assesses Antoinette Gonzalez a penalty in the amount of two hundred dollars (\$200.00). The fine is to be paid on or before December 14, 2017, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.10 (C)(23) TRAINER RESPONSIBILITY personally attending to their horses in the paddock and supervising the saddling thereof, unless excused by the stewards; if the trainer is excused, the trainer must secure another trainer or assistant trainer who is licensed by the commission to assume those duties

16.47.1.10 (E)(1) SUBSTITUE TRAINER If any licensed trainer is prevented from performing his duties or is absent from the track where he is participating, the stewards shall be immediately notified, and at the same time, a substitute trainer or assistant trainer, acceptable to the stewards, shall be appointed. The stewards shall be advised when the regular trainer resumes his duties

15.2.6.11(E)(13): Trainer Responsibility personally attending in the paddock and supervising the saddling thereof, unless excused by the stewards

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal

check.'

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Dislet Smiles



Ruling #:

2017-ZIA-169

Track

Zia Park

Ruling Date:

11/14/2017

Licensee:

LOZANO, FLAVIO

License Type:

Applicant Id:

33238

License Number:

Ruling #

2017-ZIA-169

Action Type:

Initial Ruling

Ruling:

Jockey, <u>Flavio Lozano</u>, having been summoned <u>and having appeared</u> before the Board of Stewards <u>on November 13, 2017</u>, for careless riding during the running of the <u>3rd race on November 12</u>, <u>2017</u>, <u>at Zia Park Racetrack</u> while <u>aboard "KIM CAN DASH" which resulted in his mount being disqualified from 4th place to 7th place.</u>

For this violation, the Board of Stewards assessed <u>Flavio Lozano</u>, a suspension of <u>10 Calender days</u>, <u>starting November 28, 2017</u>, <u>through December 7, 2017</u>. <u>Flavio Lozano</u>, is <u>NOT</u> allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

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Ruling #:

2017-ZIA-170

Track

Zia Park

Ruling Date:

11/14/2017

Licensee:

SANCHEZ, RODOLFO

License Type:

2016 3 Year Trainer

Applicant Id:

7257

License Number:

281238

Ruling #

2017-ZIA-170

Action Type:

Initial Ruling

Ruling:

Trainer Rodolfo Sanchez having been duly notified and having waived his rights to a 10 day notice and formal hearing before the Board Of Stewards on November 14, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the therapeutic drug, Dexamethasone (class 4 penalty category C drug) found in test sample NM31031 taken from the FIRST place finisher "Tr Dasher Super Star" following the 6th race, on October 1, 2017, at Zia Park Racetrack in Hobbs, NM.

The original lab report indicated a finding of Dexamethasone at 80 pg/ml in the blood, the allowable limit for Dexamethasone is 5 pg/ml in the blood (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Rodolfo Sanchez.

Auturo Ibarra is the owner of record for horse "Tr Dasher Super Star". In accordance with 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS, this is his FIRST Class 4C medication violation in a 365-day period.

For Rodolfo Sanchez's FIRST Class 4C medication violation in a 365 day period, issued in accordance with 15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS, the Board of Stewards assessed a penalty as follows:

1. A (\$1,000) one thousand dollar fine to paid on or before December 14, 2017, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay fine in prescribed time may result in an immediate suspension.

Licensee is found to be in violation of:

15.2.6.9(C)(1): Prima Facie Evidence /16.47.1.8 (U)(1) PROTECTION OF HORSES /15.2.6.9 Attachment 2, Page 3 Threshold limit for Dexamethasone 5 pg/ml in the blood / 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS

This ruling is written in accordance with:

15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES /15.2.6.11(A) Trainer Responsibility/ 15.2.6.11(B) Trainer Responsibility /16.47.1.10 (C) (5) TRAINER RESPONSIBILITY: 16.47.1.10(B)(1): Absolute Insurer / 16.47.1.8 (L)(1)(i) - CONDUCT / 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

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Ruling #: 2017-ZIA-171

Track Zia Park

Ruling Date: 11/14/2017

Licensee: CHAVEZ, JAMES N

License Type: Applicant Id: 160449

License Number:

Ruling # 2017-ZIA-171

Action Type: Initial Ruling

Ruling:

Issued in error

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-172

Track

Zia Park

Ruling Date:

11/14/2017

Licensee:

IBARRA, AUTURO

License Type:

2017 1 Year Owner

Applicant Id:

160380

License Number: 287726

Ruling #

2017-ZIA-172

Action Type:

Initial Ruling

Ruling:

Owner Auturo Ibarra having been duly telephonically notified for an informal hearing before the Board Of Stewards on November 14, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC), for a confirmed positive for the therapeutic drug, Dexamethasone (class 4 penalty category C drug) found in test sample NM31031 taken from the FIRST place finisher "Tr Dasher Super Star" following the 6th race, on October 1, 2017, at Zia Park Racetrack in Hobbs, NM.

The original lab report indicated a finding of **Dexamethasone at 80** pg/ml in the blood, the allowable limit for Dexamethasone is 5 pg/ml in the blood (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Rodolfo Sanchez.

Rodolfo Sanchez, the trainer of record for horse "Tr Dasher Super Star", waived his rights to a 10 day notice and formal hearing. In accordance with 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS, this is his FIRST Class 4C medication violation in a 365-day period.

For Auturo Ibarra's FIRST Class 4C medication violation in a 365 day period, issued in accordance with 15.2.6.9 (B)(4) PENALTY RECOMMENDATIONS, the Board of Stewards assessed a penalty as follows:

1. The horse "Tr Dasher Super Star" must pass a commission approved veterinary examination before being eligible to enter.

Licensee is found to be in violation of:

15.2.6.9(C)(1): Prima Facie Evidence /16.47.1.8 (U)(1) PROTECTION OF HORSES /15.2.6.9 Attachment 2, Page 3 Threshold limit for Dexamethasone 5 pg/ml in the blood 15.2.6.9(B)(4) PENALTY RECOMMENDATIONS

This ruling is written in accordance with:

15.2.6.9 CLASS 4 UNIFORM CLASSIFICATION GUIDELINES 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.'

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section, must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-173

Track

Zia Park

Ruling Date:

11/18/2017

Licensee:

MEGARIZ,III MICHAEL

License Type:

Applicant Id:

16966

License Number:

Ruling #

2017-ZIA-173

Action Type:

Initial Ruling

Ruling:

Trainer, Michael Megariz III, having been duly notified and having appeared before the Board of Stewards on November 18, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Azoom Policy" (Test Sample #: NM27687), who finished 2nd place in the 2nd race on September 24, 2017, at Zia Park Racetrack in Hobbs, NM. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Michael Megariz III.

<u>Pedro Carrillo</u>, the owner of record for horse "Azoom Policy", was duly notified and appeared for this hearing. This is **Pedro Carrillo's FIFTH Class 3B medication violation in a lifetime.**

For Michael Megariz III's FIRST Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. A 30 day suspension of all NMRC licenses starting November 28, 2017, through December 27, 2017, inclusive.
- 2. A (\$750) seven hundred and fifty dollar fine to paid on or before December 19, 2017, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay fine in prescribed time may result in an immediate suspension.

During said time of applicable suspension Michael Megariz III is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21) Exclusion. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Michael Megariz III are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) Exclusion.

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clembuterol that is allowed to appear in any official sample 16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.6.11(A) NMAC Trainer Responsibility/ 16.47.1.10(B)(1) NMAC/ Absolute 15.2.3.8(B)(3)(k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Teslet Sures



Ruling #:

2017-ZIA-174

Track

Zia Park

Ruling Date:

11/18/2017

Licensee:

CARRILLO-GOMEZ, PEDRO

License Type:

2016 3 Year Owner

Applicant Id:

33606

License Number:

283115

Ruling #

2017-ZIA-174

Action Type:

Initial Ruling

Ruling:

Owner <u>Pedro Carrillo</u>, having <u>been duly notified and having appeared</u> before the Board of Stewards <u>on November 18, 2017</u>, <u>regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC)</u> for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "<u>Azoom Policy</u>"(<u>Test Sample #: NM27687</u>), who <u>finished 2nd place in the 2nd race on September 24</u>, <u>2017</u>, <u>at Zia Park Racetrack in Hobbs, NM</u>. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by <u>Michael Megariz III</u>.

<u>Michael Megariz III</u>, the trainer of record for horse "<u>Azoom Policy</u>", was duly notified and appeared for this hearing. This is <u>Michael Megariz III's FIRST Class 3B medication violation in a lifetime.</u>

For Pedro Carrillo's FIFTH Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. A (\$5,000) five thousand dollar fine to paid on or before <u>December 19, 2017</u>, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay fine in prescribed time may result in an immediate suspension.
- 2. Pursuant to rules 15.2.3.8 (B)(9)(a)(e)Stewards List, 15.2.6.9(C)(7)(c) Positive Test Report, 15.2.6.9(C)(8) Positive Test List, 15.2.6.9(C)(9)(a-d)List Removal Requirements, the horse "Azoom Policy" is placed on the Stewards list for a period of 60 days (beginning October 4, 2017). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.
- 3. The horse "Azoom Policy" is hereby disqualified from SECOND PLACE and ordered unplaced from the 2nd race on September 24, 2017, at Zia Park Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.
 - 1. R O With Good Reason
 - 2. Jess Pollitical
 - 3. Skipper Reguard
 - 4. SM Little B

Unplaced "Azoom Policy"

Unplaced "Pop Your Cork" ruling 2017-ZIA-125

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.3.8(B)(3)(k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(c) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b). Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The

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Ruling #:

2017-ZIA-175

Track

Zia Park

Ruling Date:

11/19/2017

Licensee:

CHIRINOS, ROIMES SAID

License Type:

2016 3 Year Jockey Applicant Id:

158410

License Number: 280561

Ruling #

2017-ZIA-175

Action Type:

Initial Ruling

Ruling:

Jockey, Roimes Chirinos, having been summoned and having appeared before Board of Stewards on November 18, 2017, for careless riding during the running of the 8th race on November 14, 2017, at Zia Park Racetrack, while aboard "EXPECT A STORM" which resulted in his mount being disqualified from 1st place to 5th place.

The Board of Stewards hereby assess Roimes Chirinos a suspension of 4 race days (November 25, 2017, November 26, 2017, November 27, 2017, and November 28, 2017,). Roimes Chirinos is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) Thoroughbred Races When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) Post to Finish/15.2.5.13(E)(3)(d) Post to Finish/15.2.5.13(E)(4)(a) Disqualification/ 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority / 16.47.1.8 (T) **KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority**

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures /15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Develop Smith



Ruling #:

2017-ZIA-176

Track

Zia Park

Ruling Date:

11/19/2017

Licensee:

ROCHA. JORGE A

License Type:

Applicant Id:

33510

License Number:

Ruling #

2017-ZIA-176

Action Type:

Initial Ruling

Ruling:

<u>Jockey</u>, <u>Jorge Rocha</u>, having been summoned <u>and having appeared</u> before Board of Stewards <u>on November 18, 2017</u>, for careless riding during the running of the <u>2nd race on November 14, 2017</u>, <u>at Zia Park Racetrack</u>, while <u>aboard "PERFECT PRO" which resulted in his mount being disqualified from 5TH place to 6th place</u>.

The Board of Stewards hereby assess <u>Jorge Rocha</u> a suspension of <u>4 race days (November 26, 2017, November 28, 2017, and December 2, 2017,)</u>. <u>Jorge Rocha</u> is allowed to ride designated races.

Licensee is found in violation of:

15.2.5.13(E)(2)(a) Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) Thoroughbred Races When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

This ruling is written in accordance with:

15.2.5.13(E)(3)(c) Post to Finish/15.2.5.13(E)(3)(d) Post to Finish/15.2.5.13(E)(4)(a) Disqualification/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority / 16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures /15.2.1.9(B)(9)
(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2017-ZIA-177

Track

Zia Park

Ruling Date:

11/19/2017

Licensee:

MARQUEZ, JOSE LUIS

License Type:

2015 3 Year Trainer

Applicant Id: 23709

License Number: 277384

Ruling #

2017-ZIA-177

Action Type:

Initial Ruling

Ruling:

Trainer, <u>Jose Luis Marquez</u>, having <u>been duly notified</u>, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards <u>on November 19, 2017</u>, <u>regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC)</u> for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "<u>Docs First Fire</u>" (<u>Test Sample #: NM28242</u>), who <u>finished 3rd place in the 9th race on August 5, 2017, at Ruidoso Downs in Ruidoso, NM.</u> Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by <u>Jose Luis Marquez</u>.

<u>Jaime Bujanda</u>, the owner of record for horse "<u>Docs First Fire</u>", was duly notified and appeared for this hearing. This is <u>Jaime Bujanda's FIRST Class 3B medication violation in a lifetime.</u>

For Jose Luis Marquez's FIRST Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. A 30 day suspension of all NMRC licenses starting November 30, 2017, through December 29, 2017, inclusive.
- 2. A (\$750) seven hundred and fifty dollar fine to paid on or before <u>December 19, 2017</u>, in accordance with 15.2.3.8 (B)(3)(I). Failure to pay fine in prescribed time may result in an immediate suspension.

During said time of applicable suspension, Jose Luis Marquez is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21) Exclusion. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of, Jose Luis Marquez are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) Exclusion.

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clenbuterol that is allowed to appear in any official sample16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.6.11(A) NMAC Trainer Responsibility/ 16.47.1.10(B)(1) NMAC/ Absolute Insurer 15.2.3.8(B)(3) (k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park, Stewards

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Ruling #:

2017-ZIA-178

Track

Zia Park

Ruling Date:

11/19/2017

Licensee:

BUJANDA-PAYAN, JAIME

License Type:

2016 3 Year Owner

Applicant Id:

34399

License Number: 283634

Ruling #

2017-ZIA-178

Action Type:

Initial Ruling

Ruling:

Owner, <u>Jaime Bujanda</u>, having been duly notified and having appeared before the Board of Stewards on November 19, 2017, regarding an affidavit from UC/Davis (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited (class 3 penalty B) drug "clenbuterol" detected in the urine of horse "Docs First Fire" (Test Sample #: NM28242), who finished 3rd place in the 9th race on August 5, 2017, at Ruidoso Downs in Ruidoso, NM. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by Jose Luis Marquez.

Jose Luis Marquez, the trainer of record for horse "Docs First Fire", was duly notified and failed to appear for this hearing. This is Jose Luis Marquez's FIRST Class 3B medication violation in a lifetime.

For Jaime Bujanda's FIRST Class 3B medication violation in a lifetime, the Board of Stewards assessed a penalty issued in accordance with 15.2.6.9 (B)(2) Penalty Recommendations as follows:

- 1. Pursuant to rules 15.2.3.8 (B)(9)(a)(e)Stewards List, 15.2.6.9(C)(7)(c) Positive Test Report, 15.2.6.9(C)(8) Positive Test List, 15.2.6.9(C)(9)(a-d)List Removal Requirements, the horse "Docs First Fire" is placed on the Stewards list for a period of 60 days (beginning August 24, 2017). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.
- 2. The horse "Docs First Fire" is hereby disqualified from THI RD PLACE and ordered unplaced from the 9th race on August 5, 2017, at Ruidoso Downs in Ruidoso, for all but pari-mutuel wagering. The purse redistribution is as follows.
 - 1. Apollitical Ok
 - 2. Sam Crow
 - 3. First Valiant Sign
 - 4. Tf Im That Guy
 - 5. Pheoebesdynasty
 - 6. Memphis D Nasty
 - 7. Stevie B First Cash

Unplaced "Docs First Fire"

Licensee is found in violation of 15.2.6.9(C)(1-2-3) Prima Facie Evidence /15.2.6.9(C)(3) Clenbuterol There is no permissible concentration of Clembuterol that is allowed to appear in any official sample 16.47.1.8 (U)(1) PROTECTION OF HORSES 15.2.6.9. B(2) Penalty recommendations This ruling is written in accordance with 15.2.3.8(B)(3)(k) NMAC/ Purse Redistribution 15.2.5.13(E)(4)(d) NMAC: Stewards Authority 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The

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Ruling #:

2017-ZIA-179

Track

Zia Park

Ruling Date:

11/19/2017

Licensee:

VALERO, ROBERTO

License Type:

2015 3 Year Jockey

Applicant Id:

14629

License Number:

277123

Ruling #

2017-ZIA-179

Action Type:

Initial Ruling

Ruling:

Jockey <u>Roberto Valero</u> having been duly notified, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards on <u>November 19, 2017</u>, for having failed to be excused from, or to notify the Board of Stewards of his absence, and subsequent failure to honor his riding engagements in the 5th, 6th, 7th, and 8th races <u>on October 22, 2017, at Zia Park Racetrack</u>.

The Board of Stewards hereby fines **Roberto Valero** in the amount of **two hundred dollars (\$200.00)**. The fine is to be paid on or before **December 19, 2017**, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

5.2.5.13(C)(3) Which states in pertinent part that "Except as otherwise provided by this subsection, a jockey engaged for a certain race or for a specified time may not fail or refuse to abide by the engagement agreement, unless excused by the Stewards."

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(c) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission of the ruling."

offices, or with the Stewards who issued the ruling.

Desert Smite



Ruling #:

2017-ZIA-180

Track

Zia Park

Ruling Date:

11/19/2017

Licensee:

VALENZUELA, JORGE

License Type:

2017 3 Year Trainer

Applicant Id:

9097

License Number: 287552

Ruling #

2017-ZIA-180

Action Type:

Initial Ruling

Ruling:

Trainer, Jorge Valenzuela, having been duly notified and having responded telephonically before the Board of Stewards on November 11, 2017, for failing to disclose criminal/arrest history on his licensing application.

Aggravating Circumstance: Jorge Valenzuela failed to present documentation for exoneration of these charges.

The Board of Stewards hereby access Jorge Valenzuela a fine in the amount of <u>five hundred dollars</u> (\$500.00). The fine is to be paid on or before **December 19, 2017**, in accordance with 15.2.3.8 (B)(3) (1). Failure to pay the fine within the time prescribed may result in immediate suspension

Licensee is found to be in violation of:

16.47.1.8 (L)(1)(d) GROUNDS FOR REFUSAL, DENIAL, SUSPENSION, OR REVOCATION OF A LICENSE. Application requirements

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d) Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Testet Smith



Ruling #:

2017-ZIA-181

Track

Zia Park

Ruling Date:

11/19/2017

Licensee:

CHAVEZ, LUCAS JOHN

License Type:

2016 3 Year Owner

Applicant Id:

35200

License Number: 283543

Ruling #

2017-ZIA-181

Action Type:

Initial Ruling

Ruling:

Owner/ Exercise Rider Lucas Chavez, having been duly notified failing to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on November 19, 2017, for performing the duties as an assistant trainer, without be properly licensed in that category, by saddling entry "BOOGIE TO GO" in the 5th race on October 22, 2017, at Zia Park Racetrack. In addition, Lucas Chavez made false statements to the NMRC Investigator when questioned about the capacity in which he was licensed.

The Board of Stewards hereby access Lucas Chavez a fine in the amount of five hundred dollars (\$500). The fine is to be paid on or before **December 19, 2017**, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay this fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

16.47.1.8 L (1)(C) The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission.

16.47.1.8 (R)(1) License presentation A person must present an appropriate license or other authorization issued by the commission to enter a restricted area. The commission may issue authorization to the spouse or child of a licensed owner, trainer or jockey to enter a restricted area. 16.47.1.8 (R)(2) License presentation The stewards may require visible display of a license while the licensee is engaged in the duty for which he/she is licensed and on the association grounds unless the licensee is mounted on a horse.

16.47.1.8 (L)(1)(f) Grounds for disciplinary measures for a licensee The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: has been found to have made false or misleading statements to the commission, stewards, or any racing official.

This ruling is written in accordance with:

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Twist Smith



Ruling #: 2017-ZIA-182

Track Zia Park

Ruling Date: 11/19/2017

Licensee: GONZALEZ-VIGI, EFRAIN

License Type: 2017 3 Year Jockey Applicant Id: 33494

License Number: 287447

Ruling # 2017-ZIA-182

Action Type: Initial Ruling

Ruling:

Jockey, <u>Efrain Vigi Gonzalez</u>, having been summoned, failing to appear, and had <u>his</u> hearing held in absentia, in accordance with <u>15.2.1.9(B)(4)(d)non-appearance</u>, before the Board of Stewards, on <u>November 19, 2017</u>, for failure to report to the jocks room at the designated time (1 hour prior to post), which resulted in a late change of rider for entry <u>"AINT EVEN DRINKIN"</u> in the <u>3rd race on November 18, 2017</u>, at Zia Park Racetrack.

The Board of Stewards hereby assesses <u>Efrain Vigi Gonzalez</u>, a penalty in the amount of <u>two</u> <u>hundred dollars (\$200.00)</u>. The fine is to be paid on or before <u>December 19, 2017</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

Licensee is found to be in violation of:

15.2.5.13(C)(1) Which states in pertinent part that "Jockeys shall report to the Jockey's quarters at the time designated by the association. Jockeys shall report their engagements and any overweight to the clerk of scales. Jockeys shall not leave the Jockeys' quarters, except to ride in scheduled races, until all of their riding engagements of the day have been fulfilled except as approved by the Stewards."

This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys, 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority ,16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Zia Park Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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