

Ruling #:

2020-RUI-1

Track

Ruidoso Downs

Ruling Date:

5/11/2020

Licensee:

SEDILLO, ALEX JASON

License Type:

2019 3 Year Owner

Applicant Id:

16428

License Number:

295587

Ruling #

2020-RUI-1

Action Type:

**Initial Ruling** 

Ruling:

The Owners' license issued to Alex Sedillo is hereby rescinded without prejudice as he no longer works in this category. He was issued an assisstant starters' license.

#### This ruling is in accordance with:

16.47.1.8 (M)(2)Duration Of License Which states in pertinent part "A license is valid only under the condition that the licensee remains eligible to hold such a license. 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d) Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b):

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-2
Track Ruidoso Downs
Ruling Date: 5/12/2020

Licensee: ESPINOZA-ANDRADE, JONATHAN

License Type: 2019 1 Year Groom Applicant Id: 161191

License Number: 295945

Ruling # 2020-RUI-2

Action Type: Initial Ruling

Ruling:

The Grooms license issued to Jonathan Espinoza-Andrade is hereby rescinded without prejudice as he no longer works in this category. He was issued an assistant starters' license.

This ruling is in accordance with:

16.47.1.8. (M)(2) Duration of license which states " A license is valid only under the condition that the license remains eligible to hold such a license. 15.2.1.8 (B)(1) Commission General Authority: 15.2.3.8 (3)(a)(f) Stewards' Disciplinary Action

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-3

Track Ruidoso Downs

Ruling Date: 5/12/2020

Licensee: ESPINOZA-ANDRADE, JONATHAN

License Type: 2019 1 Year Groom Applicant Id: 161191

License Number: 295945

Ruling # 2020-RUI-3

Action Type: Initial Ruling

Ruling:

Issued in error.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-4

Track Ruidoso Downs

Ruling Date: 5/12/2020

Licensee: ESPINOZA-ANDRADE, JONATHAN

License Type: 2019 1 Year Groom Applicant Id: 161191

License Number: 295945

Ruling # 2020-RUI-4

Action Type: Initial Ruling

Ruling:

Issued in error.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-5

Track

Ruidoso Downs

Ruling Date:

5/14/2020

Licensee:

TRIANA, JUAN MARTIN

License Type:

Applicant Id:

14157

License Number:

Ruling #

2020-RUI-5

**Action Type:** 

**Initial Ruling** 

Ruling:

The Assistant Trainers' license issued to Juan Triana is hereby rescinded without prejudice as he no longer works in this category. He was issued a Trainers' license.

16.47.1.10(C)(18) NMAC:

Which states in pertinent part that "A trainer is responsible for the horse entered as to eligibility and weight or other allowances claimed."

15.2.1.9(b):

Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-6

Track Ruidoso Downs

Ruling Date: 5/14/2020

Licensee: MARCOM, BRANDON LEE

License Type: Applicant Id: 7274

License Number:

Ruling # 2020-RUI-6

Action Type: Initial Ruling

Ruling:

Applicant Brandon Lee Marcom having applied for a assistant trainer's license on May 14, 2020, at Ruidoso Downs Racetrack, is herby denied having stated he was convicted of a felony offense regarding a prohibited substance less than twelve years ago. Brandon Lee Marcom will be required to appear before the commission prior to licensure.

### Reason For Denial:

New Mexico State Statute 60-1A-11 (C) which states, "A person issued or applying for an occupational license who has positive test results for a controlled substance or who has been convicted of a violation of a federal or state controlled substance law shall be denied a license or shall be subject to revocation of an existing license unless sufficient evidence of rehabilitation is presented to the commission.

16.47.1 L (1)(a) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: has been convicted of a felony Licensee is found to be in violation of and this Ruling is written in accordance with:

16.47.1 K (1)(2)(3) License denial

16.47.1 L (1)(a) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: has been convicted of a felony

16.47.1 L (3) Any license denied, suspended or revoked by the commission pursuant to these rules shall state the time period for the effect of its ruling. When the action is taken for a misdemeanor or felony conviction, the time period shall be the period of the licensee's or applicant's imprisonment; or if not imprisoned, the period of probation, deferral, unless the person can satisfy the commission of sufficient rehabilitation. This rule shall also apply to licensees who voluntarily turn in their license because of, or in anticipation of, a conviction.

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (3)(a) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General authority. The stewards have the authority to interpret the rules and to decide all questions of racing not specifically covered by the rules. Whenever the stewards find any person culpable for any act or omission in violation of these regulations or any violation of the Horse Racing Act, the person shall be subject to disciplinary action, which could include a fine, suspension, or revocation/denial of license or any combination of these penalties.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

15.2.1.9(b): Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2020-RUI-7

Track

Ruidoso Downs

Ruling Date:

5/17/2020

Licensee:

MURPHY, JOY KATHLEEN

License Type:

Applicant Id:

15010

License Number:

Ruling #

2020-RUI-7

Action Type:

**Initial Ruling** 

Ruling:

The Jockeys' agent license issued to Joy Murphy is hereby rescinded without prejudice as she no longer works in this category. She was issued a horseman bookkeeper license.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-8

Track Ruidoso Downs

Ruling Date: 5/25/2020

Licensee: CARRETE, FERNANDO

License Type: 2019 3 Year Trainer Applicant Id: 28930

License Number: 296669

Ruling # 2020-RUI-8

Action Type: Initial Ruling

Ruling:

In the Matter of: Trainer, Fernando Carrete, a ruling was received from the Remington Park Board of Stewards which stated that a Summary Suspension of the occupational license for Fernando Carrete was issued for a positive test of the prohibited substance "clenbuterol" as reported in an affidavit from Industrial Laboratories signed by Drug Testing Services Lab Manager, Timothy R Krueger, Chemist.

The Trainers' license of Fernando Carrete is hereby suspended and is denied access to the enclosures of all racetracks, including public areas coming under the juisdiction of the New Mexico Racing Commission.

#### Reason For Suspension:

16.47.1.8.L. Grounds for disciplinary measures for a licensee and refusal, denial, suspension, or revocation of a license:

- (1). The Commission may refuse to issue a license, or may suspend or revoke a license issued or order disciplinary measures, if the applicant:
  - (h). Has had a license denied, suspended, or revoked by any racing jurisdiction.
- 15.2.1.9.C.(22). Rulings in other jurisdictions.
- (a). Reciprocity, the Stewards shall honor rulings from other pari-mutuel jurisdictions regarding license suspensions, revocations or eligibility of horses.

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-9

Track

Ruidoso Downs

**Ruling Date:** 

5/25/2020

Licensee:

STINEBAUGH, ZACKARY PRESTON

License Type:

2020 1 Year Owner

Applicant Id:

157754

License Number:

297397

Ruling #

2020-RUI-9

Action Type:

Initial Ruling

Ruling:

The Owners' license of Zackary Preston Stinebaugh is hereby rescinded without prejudice as he no longer participates in that category. He was issued a program Coordinators' license.

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-10

Track

Ruidoso Downs

Ruling Date:

5/25/2020

Licensee:

STINEBAUGH, WYATT DILLON

License Type:

Applicant Id:

157753

License Number:

Ruling #

2020-RUI-10

Action Type:

**Initial Ruling** 

Ruling:

The Owners' license of Wyatt Dillion Stinebaugh is hereby rescinded without prejudice as he no longer participates in that category. He was issued a placing judges' license.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-11

Track

Ruidoso Downs

Ruling Date:

5/26/2020

Licensee:

RAMIREZ, ELVIA ALEJANDRA

License Type:

2019 3 Year Owner

Applicant Id:

162438

License Number:

295653

Ruling #

2020-RUI-11

Action Type:

**Initial Ruling** 

Ruling:

The Owners license Elvia Alejandra Ramirez is hereby rescinded without prejudice as she no longer participates in that category. She has been issued a Jockeys' agent license.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-12

Track

Ruidoso Downs

Ruling Date:

6/1/2020

Licensee:

GUILLEN-CHACON, CARLOS ANTONIO

License Type:

2019 1 Year Jockey

Applicant Id:

162450

License Number: 295679

Ruling #

2020-RUI-12

Action Type:

**Initial Ruling** 

Ruling:

Carlos Guillen Chacon was summoned and appeared before the Ruidoso Downs Board of Stewards on May 31, 2020. The hearing was in regard to Carlos Guillen Chacons' excessive use of the whip on his mount "BIGG JACK" during the running of the 4th race on May 30, 2020, at Ruidoso Downs.

The Board of Stewards hereby fines Carlos Guillen Chacon the amount of five hundred dollars (\$500.00). The fine is to be paid on or before July 1, 2020, in accordance with 15.2.3.8(B)(3)(I). Failure to pay the fine within the time prescribed shall result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse 15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/ 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-13

Track

Ruidoso Downs

Ruling Date:

6/6/2020

Licensee:

PORTILLO-GOMEZ, ENRIQUE

License Type:

2017 3 Year Jockey

Applicant Id:

32586

License Number: 287713

Ruling #

2020-RUI-13

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Enrique Portillo Gomez, having been summoned and having appeared before Board of Stewards on June 5, 2020, for careless riding during the running of the 12th race on May 25th, 2020, while aboard the #1 "Causing Chaos" which resulted in his mount being disqualified from fifth(5th) place to last place. (The #1 bore out sharply soon after the start making contact with the #2 forcing him out sharply which cause a chain re-action that caused the #7 to clipped heels with #8 and unseated the Jockey).

For this <u>rule violation</u>, the Board of Stewards assessed Enrique Portillo Gomez a suspension of 7 days (June 13th thru June 19th 2020). Enrique Portillo Gomez is allowed to ride designated races in which he is named on at the time of enrty.

#### Licensee is found in violation of:

15.2.5.13(E) (2) (a) NMAC: Post to Finish which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(a) NMAC: Which states in pertinent part that "When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

#### This ruling is written in accordance with:

15.2.5.13(E) (3) (c) NMAC: Post to Finish which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E) (3)(d) NMAC: Post to Finish The offending horse may be disqualified, if in the opinion of the stewards, the foul altered the finish of the race, regardless of whether the foul was accidental, willful or the result of careless riding.

15.2.5.13(E) (4) (a) NMAC Disqualification. When the stewards determine that a horse shall be disqualified for interference, they may place the offending horse behind such horse as in their judgment it interfered with, or they may place it last

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (3)(A) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

15.2.1.9(b): Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #:

2020-RUI-14

Track

Ruidoso Downs

Ruling Date:

6/8/2020

Licensee:

REYES, FRANK

License Type:

2017 3 Year Jockey

Applicant Id:

116762

License Number:

287886

Ruling #

2020-RUI-14

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Frank Reyes, having been summoned and appeared before the Board of Stewards on May 31st, 2020 for careless riding during the running of the 11th race on May 30th,2020 at Ruidoso Downs, while aboard the # 1 "Keaton" which resulted in his mount being disqualified from second and placed third. ( The # 1 was allowed to drift out and bumped the # 2 three times nearing the wire).

For this rule violation the Board of Stewards assessed Frank Reyes a fine of \$500.00 hundred dollars for careless riding . The fine is due on or before June 30th, 2020 in accordance with 15.2.3.8(B)(3)(1). Failure to pay the fine in prescribed time shall result in an immediate suspension.

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### 15.2.5.13(E)(3)(a) NMAC:

Which states in pertinent part that "When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-15

Track

Ruidoso Downs

Ruling Date:

6/8/2020

Licensee:

CHIRINOS, ROIMES SAID

License Type:

2019 3 Year Jockey

Applicant Id: 158410

License Number:

293084

Ruling #

2020-RUI-15

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Roimes Chirinos having been summoned and was represented by guild representative, Alfredo Juarez Jr. on June 7th, 2020 for careless riding during the running of the 9th race on May 31st, 2020 at Ruidoso Downs, while aboard the # 4 "Let It Roll". The # 4 was came out nearing the wire and forced the # 2 out and denied him a lane to run and had to pull out erratically.

For this violation the Board of Stewards assessed Roimies Chirinois a fine of \$ 500.00 dollars for careless riding. The fine is due on or before July 7th, 2020 in accordance with 15.2.3.8.(B)(3)(1). Failure to pay the fine in prescribed time shall result in an immediate suspension

.15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### 15.2.5.13(E)(3)(c) NMAC:

Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

#### 15.2.5.13(E)(3)(a) NMAC:

Which states in pertinent part that "When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede, or intimidate any other horse, it is a foul."

### 15.2.5.13(E)(2)(a) NMAC:

Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

fices, or with the Stewards who issued the fuling.



Ruling #: 2020-RUI-16 Track Ruidoso Downs

Ruling Date: 6/8/2020

Licensee: RAMOS, ADRIAN ALFREDO

License Type: 2020 3 Year Jockey Applicant Id: 20427

> License Number: 298242

Ruling # 2020-RUI-16

**Action Type: Initial Ruling** 

Ruling:

Jockey, Adrian Ramos having been summoned and having appeared before the Board of Stewards on May 23rd, 2020 for excessive use of the whip while aboard the # 4 "No Star Above" in the 14th race on May 22, 2020 at Ruidoso Downs.

For this rule violation, the Board of Stewards' hereby assessed Adrian Ramos a fine in tha amount of Five Hundred dollars (\$ 500.00). The fine is to be paid on or before June 23rd, 2020, in accordance with 15.2.3.8(B)(3)(1). Failure to pay fine within time prescribed shall result in immediate suspension.

### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse. 15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### 15.2.5.13(E)(6)(e) NMAC:

Which states "Indiscriminate use of the whip is prohibited including whipping a horse on the head, flanks or on any other part of its body other than the shoulders or hind quarters."

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-17

Track

Ruidoso Downs

Ruling Date:

6/9/2020

Licensee:

RIVERA, ALONSO

License Type:

Applicant Id:

19096

License Number:

Ruling #

2020-RUI-17

Action Type:

**Initial Ruling** 

Ruling:

Jockey <u>Alonso Rivera</u>, was duly notified and appeared before the Board of Stewards on <u>May</u> 23,2020. The hearing was in regard to <u>Alonso Rivera</u> misuse of whip on his mount <u>"One Fabulous Prize"</u> during the running of the <u>11th race on May22, 2020, at Ruidoso Downs.</u>

The Board of Stewards hereby fines <u>Alonso Rivera</u> in the amount of <u>five hundred dollars (\$500.00)</u>. The fine is to be paid on or before <u>June 23, 2020</u>, in accordance with <u>15.2.3.8(B)(3)(I) NMAC</u>. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with

15.2.5.13(E)(6)(e) Use of riding crops. <u>Indiscriminate use of the whip is prohibited including whipping a horse:</u> on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; <u>during the post parade or after the finish of the race except when necessary to control the horse</u>; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

16.47.1.8(U)(2)PROTECTION OF HORSES No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care

16.47.1.8 (L)(1)(i) – CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing 15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9) (a) Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-18

Track

Ruidoso Downs

Ruling Date:

6/13/2020

Licensee:

SANDERSON, JANELL

License Type:

2019 1 Year Owner

Applicant Id:

35518

License Number: 295040

Ruling #

2020-RUI-18

Action Type:

**Initial Ruling** 

Ruling:

Trainer, Janell Sanderson, is hereby summarily suspended, effective immediately, June 12., 2020, pending the outcome of her hearing on June 22, 2020, @ 10:00 a.m in the offices of the Board of Stewards at Ruidoso Downs Racetrack (26225 US-70, Ruidoso NM 88346) per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension was in regard to Out of competion testing conducted on June 11, 2020 at Ruidoso Downs. (15.2.6.) Out of Competition Testing (J) (1) (a,b,c,) (15.2.6.9) For failure to comply with NMRC order for Out of Competition Testing of ten(10) horses in her care. The order was issued by NMRC Investigator, Leasa Johnson on June 10,2020 for testing on 06/11/2020. This failure to follow directives is in violation of NMRC Out of Competion testing protocols.

In accordance with 15.2.1.9 (C)(21), Janell Sanderson is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Janell Sanderson are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Janell Sanderson must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

#### Licensee is found in violation of and this ruling is written in accordance with:

15.2.6 (J) Out of Competitio Testing

15.2.6.9(C)(8)(a)(9)(10)(a)(b)(c)(d)Medication Restrictions

15.2.6.9(A)(4)MEDICATIONS AND PROHIBITED SUBSTANCES Penalties Any drug or metabolite thereof found to be presenting a pre- or postrace sample which is not classified in the association of racing commissioners international uniform classification guidelines for foreign substances shall be assumed to be an association of racing commissioners international class 1 drug and the trainer and owner shall be subject to those penalties as set forth in penalty category A unless satisfactorily demonstrated otherwise by the racing medication and testing consortium, with a penalty category assigned.

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

16.47.1.8 (U)(1) PROTECTION OF HORSES Each person licensed by the commission shall do all that is reasonable and within their power and scope of duty to guard against and prevent the administration of any drug, medication or other substance, including permissible medication in excess of the maximum allowable level, to any horse entered or to be entered in an official workout or race, as prohibited by these rules.

16.47.1.8(U)(2) Protection of horses No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care.

16.47.1.10(B)(1)(2)Absolute Insurer

16.47.1.8 (L)(1)(g) has had or is currently excluded from association grounds by a recognized racing jurisdiction;

(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant is a person whose conduct or reputation may adversely reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meeting; interfering with the orderly conduct of a race meeting shall include, but is not limited to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds; the fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule. 15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the license was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit 15.2.6.9(B)(1)Penalty Recommendations /15.2.1.8(B)(1) COMMISSION GENERAL

AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application

Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures



Ruling #: 2020-RUI-19
Track Ruidoso Downs

Ruling Date: 6/16/2020

Licensee: MENDOZA, LUIS R

License Type: 2019 3 Year Owner Applicant Id: 160716

License Number: 293363

Ruling # 2020-RUI-19

Action Type: Modified Ruling

Ruling:

Owner: Luis Mendoza was duly notified and appeared by telephone before the Board of Stewards on June 13, 2020. The hearing was in regard to the confirmed positive of the Class 3 Penalty Class A (Quarter Horses), Albuterol, found in test sample E369771 taken from the horse, "MS Party Wagon" winner of the 3rd race on March 8, 2020, at Sunland Park Race Track.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to trainer and was confirmed by TVMDL.

15.2.6.9(C)(4) There is no permissible concentration of Albuterol that is allowed to appear in any official sample.

Luis Mendoza is the owner of record for the horse "MS Party Wagon", Jose Luis Marquez is the Trainer.

For Luis Mendoza.'s First lifetime 3A medication violation issued in accordance with 15.2.6.9 (B)(1) Penalty Recommendations, the Board of Stewards hereby assess a penalty as follows.

Pursuant to rule 15.2.6.9 (B)(1), the horse"MS Party Wagon" is on the veterinarian's list for 180 days (June 13,2020 thru December 9, 2020) and must pass a commission-approved examination before becoming eligible to be entered.

The horse "MS Party Wagonl", is hereby disqualified from 1st place on March 8,2020, at Sunland park Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.

- 1. 8-Kj Kiss This
- 2. 2- Sweet Siena Rose
- 3. 9- Samantha James
- 4. 3- Tac It Like a Prince
- 5. 4- Call Me Kash
- 6. 7- Woodbeacartel
- 7. 10-Miss Wagon Fire
- 8. 6- Cupids First Arrow
- 9. 9- Book That Mount

Unplaced "MS Party Wagonl"

Licensee is found in violation of and this ruling is written in accordance with:

15.2.3.8(B)(1)(3)(t)(g)(j)Stewards/15.2.5.13(E)(4)(d) Running of the Rade/15.2.6.9 MEDICATIONS



Ruling #:

2020-RUI-20

Track

Ruidoso Downs

Ruling Date:

6/19/2020

Licensee:

QUEZADA-AGUILAR, ADAN F

License Type:

Applicant Id:

35579

License Number:

Ruling #

2020-RUI-20

Action Type:

**Initial Ruling** 

Ruling:

Ruling issued in error.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-21

Track

Ruidoso Downs

Ruling Date:

6/22/2020

Licensee:

SANDERSON, JANELL

License Type:

2020 1 Year Trainer

Applicant Id:

35518

License Number: 297553

Ruling #

2020-RUI-21

Action Type:

Initial Ruling

Ruling:

Trainer, Janell Sanderson, is hereby summarily suspended, effective immediately, June 21, 2020, pending the outcome of her hearing on June 29, 2020, @ 10:00 a.m in the offices of the Board of Stewards at Ruidoso Downs Racetrack (26225 US-70, Ruidoso NM 88346) per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension was in regard to a confirmed positive of the Drug Class 3 Penalty Class A (Quarter Horses), Controlled Substance, clenbuterol, found in the urine, test sample # E372296 taken from the first place finisher, "Gabbis Mountain", in the 5th race on June 5th, 2020, at Ruidoso Downs.

In accordance with 15.2.1.9 (C)(21), Janell Sanderson is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Janell Sanderson are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Janell Sanderson must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

### Licensee is found in violation of and this ruling is written in accordance with:

15.2.6.9(C)(8)(a)(9)(10)(a)(b)(c)(d) Medication Restrictions

15.2.6.9(A)(4)MEDICATIONS AND PROHIBITED SUBSTANCES Penalties Any drug or metabolite thereof found to be presenting a pre- or postrace sample which is not classified in the association of racing commissioners international uniform classification guidelines for foreign substances shall be assumed to be an association of racing commissioners international class 1 drug and the trainer and owner shall be subject to those penalties as set forth in penalty category A unless satisfactorily demonstrated otherwise by the racing medication and testing consortium, with a penalty category assigned.

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

16.47.1.8 (U)(1) PROTECTION OF HORSES Each person licensed by the commission shall do all that is reasonable and within their power and scope of duty to guard against and prevent the administration of any drug, medication or other substance, including permissible medication in excess of the maximum allowable level, to any horse entered or to be entered in an official workout or race, as prohibited by these rules.

16.47.1.8(U)(2) Protection of horses No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care.

16.47.1.10(B)(1)(2)Absolute Insurer

16.47.1.8 (L)(1)(g) has had or is currently excluded from association grounds by a recognized racing jurisdiction;

(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant is a person whose conduct or reputation may adversely reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meeting; interfering with the orderly conduct of a race meeting shall include, but is not limited to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds; the fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule. 15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the license was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit 15.2.6.9(B)(1)Penalty Recommendations /15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Ruidoso Domns Stewards



Ruling #:

2020-RUI-22

Track

Ruidoso Downs

Ruling Date:

6/22/2020

Licensee:

ORONA, JR MARTIN M.

License Type:

2019 3 Year Trainer

Applicant Id:

32292

License Number:

295109

Ruling #

2020-RUI-22

Action Type:

**Initial Ruling** 

Ruling:

Trainer, Martin Orona Jr, is hereby summarily suspended, effective immediately, June 21, 2020, pending the outcome of his hearing on June 29, 2020, @ 10:30 a.m in the offices of the Board of Stewards at Ruidoso Downs Racetrack (26225 US-70, Ruidoso NM 88346) per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension was in regard to a confirmed positive of the Drug Class 3 Penalty Class A (Quarter Horses), Albuterol, found in the urine, test sample # E372348 taken from the second place finisher, "Universal Eagle", in the 6th race on June 7th, 2020, at Ruidoso Downs.

In accordance with 15.2.1.9 (C)(21), Martin Orona Jr. is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Martin Orona Jr. are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Martin Orona Jr. must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

### Licensee is found in violation of and this ruling is written in accordance with:

15.2.6.9(C)(8)(a)(9)(10)(a)(b)(c)(d)Medication Restrictions

15.2.6.9(A)(4)MEDICATIONS AND PROHIBITED SUBSTANCES Penalties Any drug or metabolite thereof found to be presenting a pre- or post-race sample which is not classified in the association of racing commissioners international uniform classification guidelines for foreign substances shall be assumed to be an association of racing commissioners international class I drug and the trainer and owner shall be subject to those penalties as set forth in penalty category A unless satisfactorily demonstrated otherwise by the racing medication and testing consortium, with a penalty category assigned.

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

16.47.1.8 (U)(1) PROTECTION OF HORSES Each person licensed by the commission shall do all that is reasonable and within their power and scope of duty to guard against and prevent the administration of any drug, medication or other substance, including permissible medication in excess of the maximum allowable level, to any horse entered or to be entered in an official workout or race, as prohibited by these rules.

16.47.1.8(U)(2) Protection of horses No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care.

16.47.1.10(B)(1)(2)Absolute Insurer

16.47.1.8 (L)(1)(g) has had or is currently excluded from association grounds by a recognized racing jurisdiction;

(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license. The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant is a person whose conduct or reputation may adversely reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meeting; interfering with the orderly conduct of a race meeting shall include, but is not limited to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds; the fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule. 15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the licensee was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit 15.2.6.9(B)(1)Penalty Recommendations /15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Ruidoso Downs Stewards

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Ruling #:

2020-RUI-23

Track

Ruidoso Downs

Ruling Date:

6/23/2020

Licensee:

GARCIA-LUNA, FELIPE D

License Type:

2018 3 Year Jockey

Applicant Id:

30961

License Number:

291533

Ruling #

2020-RUI-23

Action Type:

**Initial Ruling** 

Ruling:

Jockey <u>Felipe Garcia-Luna</u>, was duly notified and appeared before the Board of Stewards on <u>June 21,2020</u>. The hearing was in regard to <u>Felipe Garcia-Luna's</u> misuse of the whip on his mount <u>"ALL OUT FAVORITE"</u> during the post parade of the <u>5th race on June 20th, 2020, at Ruidoso Downs.</u>

The Board of Stewards hereby fines <u>Felipe Garcia-Luna</u> in the amount of <u>five hundred dollars</u> (\$500.00). The fine is to be paid on or before <u>July 21, 2020</u>, in accordance with <u>15.2.3.8(B)(3)(I) NMAC</u>. Failure to pay the fine within the time prescribed will result in immediate suspension.

Licensee is found to be in violation of and this ruling is written in accordance with

15.2.5.13(E)(6)(e) Use of riding crops. <u>Indiscriminate use of the whip is prohibited including whipping a horse</u>: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; <u>during the post parade or after the finish of the race except when necessary to control the horse</u>; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

16.47.1.8(U)(2)PROTECTION OF HORSES No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care

16.47.1.8 (L)(1)(i) – CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing 15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-24

Track

Ruidoso Downs

Ruling Date:

6/23/2020

Licensee:

RIVAS, JUAN CARLOS

License Type:

2020 1 Year Jockey

Applicant Id:

30997

License Number: 297179

Ruling #

2020-RUI-24

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Juan Carlos Rivas having been summoned and having appeared before the Board of Stewards on June 21st, 2020 for excessive use of the whip while aboard the #7 "AMALIA MARIE" in the 11th race on June 20 th, 2020 at Ruidoso Downs.

For this rule violation, the Board of Stewards' hereby assessed Juan Carlos Rivas a fine in tha amount of Five Hundred dollars (\$ 500.00). The fine is to be paid on or before July 21st, 2020, in accordance with 15.2.3.8(B) (3)(1). Failure to pay fine within time prescribed shall result in immediate suspension.

### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse. 15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/ 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-25

Track

Ruidoso Downs

Ruling Date:

6/23/2020

Licensee:

OROS, ROSA I

License Type:

2017 3 Year Owner

Applicant Id:

25421

License Number:

286986

Ruling #

2020-RUI-25

Action Type:

**Initial Ruling** 

Ruling:

Owner, Rosa I. Oros was duly notified and appeared before the Board of Stewards on June 21, 2020. The hearing was in regard to the confirmed positive of the Penalty Class A (Quarter Horses), Clenbuterol and Zilpaterol with confirmation in urine found in test sample E354952 taken from the horse, "Rj Special Chick" winner of the 10th race on November 30, 2019, at Zia Park Track.

Split sample rights as provided in 15.2.6.10 (D) NMAC, but denied by parties involved.

Rosa I Oros is the owner of record for the horse "Rj Special Chick", her trainer is Charlie Robert Ramirez.

For Rosa I Oros's First (1st) and second (2nd) lifetime "A" medication violation issued in accordance with 15.2.6.9 (B)(1) Penalty Recommendations, the Board of Stewards hereby assess a penalty as follows.

Pursuant to rule 15.2.6.9 (B)(1), the horse"Rj Special Chick" is on the veterinarian's list for 180 days (June 21,2020, thru December 20, 2020), due to mitigating factors considered by the Stewards, and must pass a commission-approved examination before becoming eligible to be entered.

The horse "Rj Special Chick", is hereby disqualified from 1st place on November 30, 2019, at Zia Park Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.

- 1. 3- Siximoons
- 2. 1- King of the Cartel
- 3. 9- Hez a Wild Spirit
- 4. 11- Daddys Wine
- 5. 10-Hy Ho Harvey
- 6. 6- Nevado Cartel
- 7. 2- Handsome Nacy
- 8. 5- First Diamond Dash
- 9. 8- Mr Flash the Moolah

Unplaced "7-Rj Special Chick"

Licensee is found in violation of and this ruling is written in accordance with:

15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/ 15.2.5.13(E)(4)(d) Running of the Race/ 15.2.6.9 MEDICATIONS AND PROHIBITED SUBSTANCES/ 15.2.6.9(L)(3)(o) MEDICATIONS AND PROHIBITED SUBSTANCES Environmental contaminants and substances of human use/15.2.5.14 D. 7 Protests,

Michael McNull



Ruling #:

2020-RUI-26

Track

Ruidoso Downs

Ruling Date:

6/26/2020

Licensee:

VEGA-REYES, EDGAR

License Type:

2020 1 Year Owner

Applicant Id:

160452

License Number:

297768

Ruling #

2020-RUI-26

Action Type:

**Initial Ruling** 

Ruling:

The Owners' license issued to Edgar Vega is hereby rescinded without prejudice as he no longer works in this category. He was issued a grooms' license.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-27

Track

Ruidoso Downs

Ruling Date:

6/27/2020

Licensee:

OLIVAS, JESUS ALFONSO

License Type:

2020 1 Year Jockey

Applicant Id:

162044

License Number:

298399

Ruling #

2020-RUI-27

Action Type:

Initial Ruling

Ruling:

Jockey, Jesus A. Olivas was summoned and appeared before the Board of Stewards on June 21, 2020, for careless riding during the running of the 9th race on June 20, 2020 at Ruidoso Downs Racetrack while aboard the horse "Daddy Lane". (Bore in sharply at the start impeding several inside horses.) The #5, " Daddy Lane" was disqualified from ninth (9th) and placed last.

The Board of Stewards hereby assesses Jesus A. Olivas a suspension of 7 calendar days (June 28, 2020, through July 4, 2020, inclusive). The term of this suspension shall not prohibit participation in designated race days.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4) (a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For

Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #: 2020-RUI-28

Track Ruidoso Downs

Ruling Date: 6/27/2020

Licensee: GOMEZ, REYES MANUEL

License Type: Applicant Id: 86400

License Number:

Ruling # 2020-RUI-28

Action Type: Initial Ruling

Ruling:

Jockey, <u>Reves Gomez</u>, was duly notified and appeared before the Board of Stewards on <u>June 26</u>, <u>2020</u>. The hearing was in regard to <u>Reves Gomez's</u> misuse of the whip, (for over-whipping his mount 41 times before the wire) on his mount <u>"Iza On The Chick"</u> in the <u>7th race on June 21, 2020</u>, <u>at Ruidoso Downs</u>.

The Board of Stewards hereby fines **Reves Gomez** in the amount of **Five hundred dollars (\$ 500.00)**. The fine is to be paid on or before **July 26, 2020**, in accordance with **15.2.3.8(B)(3)(K) NMAC**. Failure to pay the fine within the time prescribed will result in immediate suspension.

### Licensee is found to be in violation of and this ruling is written in accordance with

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse

16.47.1.8(U)(2)PROTECTION OF HORSES No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care

16.47.1.8 (L)(1)(i) – CONDUCT The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing

15.2.1.9(B)(4)(A) Notice of hearing for Jockeys 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards

Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)

(a)Failure to Appeal/15.2.1.9(B)(9)(b):

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-29

Track

Ruidoso Downs

Ruling Date:

6/28/2020

Licensee:

VALERIO, RYNE A.

License Type:

2020 1 Year Jockey Ag Applicant Id:

18762

License Number:

298626

Ruling #

2020-RUI-29

Action Type:

**Initial Ruling** 

Ruling:

Jockey agent **RYNE VALERIO**, having been duly notified, appeared before the Board of Stewards on **June 26**, **2020**, for working with an expired jockey agent license from **May 22nd thru June 21st**, **2020 at Ruidoso Downs.** 

For this rule violation, the Board of Stewards assessed <u>RYNE VALERIO</u> a penalty in the amount of <u>five</u> <u>hundred dollars (\$500.00)</u>. The fine is to be paid on or before <u>July 26, 2020</u> in accordance with 15.2.3.8(B) (3)(1) NMAC.

Failure to pay this fine within the time prescribed may result in immediate suspension.

**RYNE VALERIO** is found to be in violation of the following rules:

16.47.1.8 L (1)(C) The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant: who is unqualified, by experience or otherwise, to perform the activities for which a license is required, or who fails to pass an examination prescribed by the commission. 16.47.1.8 (G)(1) NMAC: Which states in pertinent part "The employment of any unlicensed person under the jurisdiction of the Commission is prohibited".16.47.1.8. T. KNOWLEDGE OF RULES: A licensee shall be knowledgeable of the rules of the commission; and by acceptance of the license, agrees to abide by the rules.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-30

Track

Ruidoso Downs

Ruling Date:

6/29/2020

Licensee:

MARTINEZ, LEZLY SALVADOR

License Type:

2020 3 Year Jockey

Applicant Id:

33253

License Number:

297395

Ruling #

2020-RUI-30

Action Type:

Initial Ruling

Ruling:

Jockey, Salvador L. Martinez having been summoned, appeared before the Board of Stewards on June 26, 2020 for excessive use of the whip while aboard the # 3 "YUUUGE PARTY" in the 11th race on June 21, 2020 at Ruidoso Downs.

For this rule violation, the Board of Stewards' hereby assess Salvador L. Martinez a fine in the amount of Five Hundred dollars (\$ 500.00). The fine is to be paid on or before July 26, 2020, in accordance with 15.2.3.8(B) (3)(1). Failure to pay fine within time prescribed shall result in immediate suspension.

#### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/ 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-31

Track

Ruidoso Downs

Ruling Date:

6/29/2020

Licensee:

PARGA-LEOS, JAIME

License Type:

2020 3 Year Jockey

Applicant Id: 30593

License Number: 297659

Ruling #

2020-RUI-31

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Jaime Parga Leos having been summoned, appeared before the Board of Stewards on May 24, 2020 for excessive use of the whip while aboard the # 7 "CORONADO SINNER" in the 4th race on May 23rd, 2020 at Ruidoso Downs.

For this rule violation, the Board of Stewards' hereby assess Jaime Parga-Leos a fine in the amount of Five Hundred dollars (\$ 500.00). The fine is to be paid on or before July 26, 2020, in accordance with 15.2.3.8(B) (3)(1). Failure to pay the fine within time prescribed shall result in immediate suspension.

### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-32

Track

Ruidoso Downs

Ruling Date:

7/2/2020

Licensee:

GUILLEN-CHACON, CARLOS ANTONIO

License Type:

2019 1 Year Jockey

Applicant Id:

162450

License Number:

295679

Ruling #

2020-RUI-32

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Carlos A. Guillen-Chacon was summoned and appeared before the Board of Stewards on June 28, 2020, for careless riding during the running of the 9th race on June 27, 2020 at Ruidoso Downs Racetrack while aboard the horse "RRELENTLES". (After careful review the Stewards concluded that the #4 "RRELENTLES" did in fact drift outward under left handed persuasion to impede the forward progress of the #5, "MIGHTY PRIZE DOLL" who had to alter course to avoid the heels of the #4 in deep stretch.) The #4, "RRELENTLES" was disqualified from (2nd) second and placed (4th) fourth.

The Board of Stewards hereby assesses Carlos A. Guillen-Chacon a suspension of 7 calendar days (July 3rd, 2020, through July 9th, 2020, inclusive). The term of this suspension shall not prohibit participation in designated race days.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)

(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission offices, or with the Stewards who issued the ruling.

offices, or with the Stewards who issued the ruling.



Ruling #: 2020-RUI-33

Track Ruidoso Downs

Ruling Date: 7/4/2020

Licensee: GONZALEZ, SAL

License Type: 2019 3 Year Trainer Applicant Id: 23584

License Number: 295103

Ruling # 2020-RUI-33

Action Type: Initial Ruling

Ruling:

Trainer: Sal Gonzalez, having been duly notified and had his hearing telephonically before the Board Of Stewards on June 29th, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMRC), for a confirmed positive for the drug, Dexamethasone (class 4C-Major penalty drug) found in test sample E369249 taken from the 1st place finisher "Engagement Ring" following the 1st race, on January 28, 2019, at Sunland Park Race Track.

The original lab report indicated a finding of Dexamethasone at 22.0 pg/ml +/-0.5 pg/ml in the blood, the allowable limit for Dexamethasone is 5.0 pg/ml in the blood for a 4-C Major (15.2.6.9 Attachment 2).

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to the trainer, and confirmed by University of Davis of California, Davis Laboratories at 26 +/- 0.8 pg/ml

Mr. Daniel Cardenas (Tricar Stable, Inc.) is the owner of record for horse "Engagement Ring"

#### No Mitigating Circumstances:

This is Sal Gonzalezs' first 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4). The Board of Stewards assessed a penalty as follows:

1. A (\$1000.00) One Thousand dollar fine to paid on or before <u>July 29th, 2020</u>, in accordance with <u>15.2.3.8 (B)(3)(I) NMAC</u>. Failure to pay the fine in prescribed time will result in an immediate suspension.

15.2.1.9 (C)(21)(c). All transfers and sales of horses trained by, owned by, or in the care and custody of Sal Gonzalez must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances.

<u>Licensee is found in violation of and this ruling is written in accordance with:</u> 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/15.2.6.11(A)Trainer Responsibility/16.47.1.8(T)Knowledge of the Rules/16.47.1.8(U)(1)Protection of Horses/16.47.1.10(B)(1)(2)(3) Absolute Insurer/15.2.6.9(B)Penalty Recommendations.

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-34

**Track** 

Ruidoso Downs

Ruling Date:

7/4/2020

Licensee:

CARDENAS, DANIEL

License Type:

2019 3 Year Owner

Applicant Id:

33636

License Number: 295844

Ruling #

2020-RUI-34

Action Type:

**Initial Ruling** 

Ruling:

Owner: Daniel Cardenas, having been duly notified and was represented by his Trainer, Sal Gonzalez for his hearing telephonically before the Board Of Stewards on June 29th, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMRC), for a confirmed positive for the drug, Dexamethasone (class 4C-Major penalty drug) found in test sample E369249 taken from the 1st place finisher "Engagement Ring" following the 1st race, on January 28, 2019, at Sunland Park Race Track.

The original lab report indicated a finding of Dexamethasone at 22.0 pg/ml +/-0.5 pg/ml in the blood, the allowable limit for Dexamethasone is 5.0 pg/ml in the blood for a 4-C Major (15.2.6.9 Attachment 2).

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to the trainer, and confirmed by University of Davis of California, Davis Laboratories at 26 +/- 0.8 pg/ml

Mr. Daniel Cardenas (Tricar Stable, Inc.) is the owner of record for horse "Engagement Ring"

#### No Mitigating Circumstances:

This is Daniel Cardenas' first 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4). The Board of Stewards assessed a penalty as follows:

- 1. The penalty is disqualification, loss of purse in the absence of mitigating circumstances and the horse must be pass a commission approved examination before being eligible to run, in accordance with 15.2.3.8 (B)(3)(I) NMAC. The official order of finish is as follows:
  - 1. (6) Surf N Delmar
  - 2. (7) Sixth Gear
  - 3. (1) Let's Wager
- 4. (10) Diabolical Turn
- 5. (3) Jewel of Navarone
- 6. (8) Skip Bo
- 7. (9) Stacking Rocks
- 8. (4) Shio
  - (9) Engagement Ring- unplaced

15.2.1.9 (C)(21)(c). All transfers and sales of horses trained by, owned by, or in the care and custody of Daniel Cardenas must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances.

Licensee is found in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/15.2.6.11(A)Trainer Responsibility/16.47.1.8(T)Knowledge of the Rules/16.47.1.8(U)(1)Protection of Horses/16.47.1.10(B)(1)(2)(3) Absolute Insurer/ 15.2.6.9(B)Penalty Recommendations.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal ander this section in pertinent part that "An appeal and a section in pertinent part that a section in pertinent part that a section in pertinent part that a section in pert must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #: 2020-RUI-35
Track Ruidoso Downs

Ruling Date: 7/5/2020

Licensee: SALCEDO, MAURO

License Type: Applicant Id: 137075

License Number:

Ruling # 2020-RUI-35

Action Type: Initial Ruling

Ruling:

Jockey Mauro Salcedo was summoned and appeared before the Board of Stewards on June 27, 2020, for careless riding during the running of the 3rd race on June 26th, 2020 at Ruidoso Downs

Racetrack while aboard "HESGOTCASH WITHCLASS", which resulted in his mount being disqualified from 4th place to 6th place. (bore in throughout impeding several other horses then bumping the #7, which cost him a placement.)

The Board of Stewards hereby assess <u>Mauro Salcedo</u> a suspension of <u>7 calendar days (July 12, 2020, through July 18, 2020, inclusive)</u> The term of this suspension shall not prohibit participation in designated race days.

### Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E) (4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-36

Track

Ruidoso Downs

Ruling Date:

7/5/2020

Licensee:

SALCEDO, MAURO

License Type:

Applicant Id:

137075

License Number:

Ruling #

2020-RUI-36

**Action Type:** 

**Initial Ruling** 

Ruling:

Jockey <u>Mauro Salcedo</u> was summoned and appeared before the Board of Stewards <u>on June 27, 2020</u>, for careless riding during the running of the <u>10th race on June 26th</u>, <u>2020 at Ruidoso Downs</u>

<u>Racetrack</u> while <u>aboard "WISKEY CROWN"</u>, <u>which resulted in a suspension of seven days.</u>
(<u>drifted out after the break impeding several other horses.</u>)

The Board of Stewards hereby assess <u>Mauro Salcedo</u> a suspension of <u>7 calendar days (July 19, 2020, through July 25, 2020, inclusive)</u> The term of this suspension shall not prohibit participation in designated race days.

### Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E) (4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-37

Track

Ruidoso Downs

Ruling Date:

7/6/2020

Licensee:

CONSTANT, RONALD ALPHON

License Type:

2020 1 Year Exercise P Applicant Id:

License Number: 298746

14841

Ruling #

2020-RUI-37

**Action Type:** 

**Initial Ruling** 

Ruling:

Trainer, Exercise rider, Ron Constant was cited by the racetrack outrider and waived his right to a ten day hearing for wearing an un-approved helmet after being warned several times in the past. The hearing was held on July 5, 2020 at 10:40 am. For this rule violation, The Board of Stewards hereby assess Ron Constant a fine of \$ 100.00 dollars. The fine is to be paid on or before August 5, 2020, in accordance with 15.2.3.8 (B) (3)(1). Failure to pay the fine within time prescribed shall result in immediate suspension.

#### 15.2.5 NMAC

- (8) Any licensed assistant starter and any licensee mounted on a horse or stable pony on the association grounds must wear a properly fastened New Mexico racing commission approved protective helmet and safety vest.
- (a) The approved protective helmet and safety vest shall be worn when:
- (i) racing, parading or warming up a horse prior to racing; or
- (ii) jogging, training or excerising a horse at any time.
- (b) The helmet worn must comply with one of the following minimum safety standards or later revisions:
- (i) American society for testing materials (ASTM 1163); or
- (ii) UK standards (EN-1384 and PAS-015); or
- (iii) Australian/New Zealand standard (AS/NZ 3838).
- (c) The safety vest worn by a jockey shall weigh no more than two pounds and must comply with one of the following minimum standards or later revisions:
- ((i) British equestrian trade association (BETA):2000 level 1; or
- (ii) euro norm (EN) 13158:2000 1; or
- (iii) American society for testing and materials (ASTM) F2681-08 or F1937; or
- (iv) shoe and allied trade research association (SATRA) jockey vests document M6 Issue 3; or
- (v) Australian racing board (ARB) standard 1.1998.
- (d) A safety helmet or safety vest shall not be altered in any manner nor shall the product marking be removed or defaced.

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Ruling #: 2020-RUI-38
Track Ruidoso Downs

Ruling Date: 7/8/2020

Licensee: RENNON, RANDY KURT

License Type: Applicant Id: 160860

License Number:

Ruling # 2020-RUI-38

Action Type: Initial Ruling

Ruling:

Owner Randy Rennon (Summer Knights Stable INC) was duly notified, failed to appear, and had <a href="https://nie.nlm.n

The Board of Stewards hereby order that any/all New Mexico Racing Commission licenses issued to **Randy Rennon (Summer Knights Stable INC.)** be suspended starting **July 7, 2020** and that **he** be deemed ineligible for any future licensing in New Mexico until such time that full payment is made to **IV/PICK'S VETERINARY SERVICES.** 

During said time of applicable suspension Randy Rennon (Summer Knights Stable INC). is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21). Additionally, effective upon applicable suspension dates, all horses owned or under the care of Randy Rennon (Summer Knights Stable INC) are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses owned or under the care of Randy Rennon (Summer Knights Stable INC) must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D)(5)Assistant Trainers and 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

# Licensee is found in Violation of and this ruling in written in accordance with:

16.47.1.8 L (1)(J) NMAC: Grounds For Refusal, Denial, Suspension, or Revocation of License 16.47.1.8 (I): Financial Responsibility

16.47.1.8 (L)(1)(i) – CONDUCT 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who sesued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

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Ruling #: 2020-RUI-39
Track Ruidoso Downs

Ruling Date: 7/9/2020

Licensee: GONZALES, II JAMES JULIAN

License Type: 2018 3 Year Trainer Applicant Id: 26440

License Number: 288737

Ruling # 2020-RUI-39

Action Type: Initial Ruling

Ruling:

Trainer: <u>James J. Gonzales II</u>; having been duly notified and waived his right to a ten day notice and hearing before the <u>Board Of Stewards on July 4, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMSRC)</u>, for a confirmed positive for the drug, <u>Triamcinolone Acetonide confirmed in blood at 169 pg/ml</u>. The measurement of uncertainty is +/- 16 pg/ml at the 100 pg/ml threshold, (class 4C-Major penalty) found in test sample <u>E372399</u> taken from the <u>2nd place finisher</u> "<u>Flying Eagle 07</u>" following the 7th race, on June 13th, 2020, at Ruidoso Downs Race Track.

The original lab report indicated a finding of **Triamcinolone Acetonide** at 169 pg/ml in the blood, the allowable limit for **Triamcinolone Acetonide** is 100 pg/ml in the blood (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to trainer but denied.

<u>Jack Manning</u> is the owner of record for horse "<u>Flying Eagle 07</u>" was represented by James J. Gonzales and waived his right to the ten day notice and hearing.

For James J. Gonzales II's FIRST Class 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows:

1. A (\$1,000) One thousand dollar fine to paid on or before August 5th, 2020, in accordance with 15.2.3.8 (B)(3)(l) NMAC. Failure to pay fine in prescribed time will result in an immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)(g)(j) Stewards/ 15.2.6.9(C)(1)(4)Medication Restrictions/ 15.2.6.11(A)Trainer Responsibility/ 16.47.1.8(T) Knowledge of the Rules/ 16.47.1.8(U)(1)Protection of Horses/ 16.47.1.10(B)(1)(2)(3)Absolute Insurer/ 15.2.6.9(B)Penalty Recommendations.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission offices, or with the Stewards who issued the ruling.



Ruling #: 2020-RUI-40 Track Ruidoso Downs Ruling Date: 7/10/2020

Licensee: MANNING, JACK

License Type: 2019 3 Year Owner Applicant Id: 10858

> License Number: 294460

Ruling # 2020-RUI-40

Action Type: **Initial Ruling** 

Ruling:

Owner Jack Manning; having been duly notified and waived his right to a ten day notice and hearing before the Board Of Stewards was represented by his Trainer James J. Gonzales on July 4, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMSRC), for a confirmed positive for the drug, Triamcinolone Acetonide confirmed in blood at 169 pg/ml. The measurement of uncertainty is +/- 16 pg/ml at the 100 pg/ml threshold, (class 4C-Major penalty) found in test sample E372399 taken from the 2nd place finisher "Flying Eagle 07" following the 7th race, on June 13th, 2020, at Ruidoso Downs Race Track.

The original lab report indicated a finding of Triamcinolone Acetonide at 169 pg/ml in the blood, the allowable limit for Triamcinolone Acetonide is 100 pg/ml in the blood (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to trainer but denied.

<u>Jack Manning</u> is the owner of record for horse "<u>Flying Eagle 07</u>" was represented by James J. Gonzales and waived his right to the ten day notice and hearing.

For Jack Mannings' FIRST Class 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows:

- 1. Disqualification and loss of purse, "The Horse Flying Eagle 07" is disqualified from 2nd place on June 13, 2020 at Ruidoso Downs, for all but pari-mutuel wagering. The purse redistribution is as follows:
- 1. (4) Mitey Political
- 2. (3) Ly Golden Knight
- 3 (9) Asiring Jess
- 4 (1) Phantom Fury
- 5 (7) Bp Successful Alibi
- 6 (10) Jessde Capo
- 7. (8) Dirty Mike
- 8. (6) Mighty Prize Doll
- 9. (5) Geranium

Unplaced- Flying Eagle 07

2. The horse must pass a commission approved examination before being eligible to run.

Licensee is found in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)(g)(j) Stewards/ 15.2.6.9(C)(1)(4)Medication Restrictions/ 15.2.6.11(A)Trainer Responsibility/ 16.47.1.8(T) Knowledge of the Rules/ 16.47.1.8(U)(1)Protection of Horses/ \$\int\_{6.47.1.10}(B)(1)(2)(3)Absolute Insurer/

15.2.6.9(B)Penalty Recommendations.

July Line



Ruling #:

2020-RUI-41

Track

Ruidoso Downs

Ruling Date:

7/10/2020

Licensee:

GUILLEN-CHACON, CARLOS ANTONIO

License Type:

2019 1 Year Jockey

Applicant Id:

162450

License Number:

295679

Ruling #

2020-RUI-41

Action Type:

**Initial Ruling** 

Ruling:

Jockey Carlos Antonio Guillen-Chacon is hereby suspended, effective immediately July 9, 2020, for failing to pay the fine (\$500) assessed in Ruling # 12- Rui. 2020. The term of this suspension shall prohibit participation in designated race days.

During said time of applicable suspension Carlos Antonio Guillen-Chacon is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21).

#### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.3.8 (B)(3)(1) All fines imposed by the Stewards shall be paid to the Commission within 30 days after the ruling is issued, unless otherwise ordered

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-42

Track

Ruidoso Downs

Ruling Date:

7/10/2020

Licensee:

GUTIERREZ, HOMERO

License Type:

Applicant Id:

20416

License Number:

Ruling #

2020-RUI-42

Action Type:

**Initial Ruling** 

Ruling:

Trainer, Homero Gutitierrez, is hereby summarily suspended, effective immediately July 10, 2020, pending the outcome of his hearing on July 20. 2020 at 10:00 a.m. in the offices of the Board of Stewards at Ruidoso Downs Racetrack (26225 US-70, Ruidoso NM 88346) per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension was in regard to positive test conducted on June 30, 2020 by Industrial Laboratories for the controlled substance clenbuterol confirmed in the urine, sample # E372524.

In accordance with 15.2.1.9 (C)(21), Homero Gutierrez is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Homero Gutierrez are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Homero Gutierrez must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION

Licensee is found in violation of and this ruling is written in accordance with:

#### 15.2.6.9(C)(8)(a)(9)(10)(a)(b)(c)(d)Medication Restrictions

15.2.6.9(A)(4)MEDICATIONS AND PROHIBITED SUBSTANCES Penalties Any drug or metabolite thereof found to be presenting a pre- or postrace sample which is not classified in the association of racing commissioners international uniform classification guidelines for foreign substances shall be assumed to be an association of racing commissioners international class 1 drug and the trainer and owner shall be subject to those penalties as set forth in penalty category A unless satisfactorily demonstrated otherwise by the racing medication and testing consortium, with a penalty category

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

16.47.1.8 (U)(1) PROTECTION OF HORSES Each person licensed by the commission shall do all that is reasonable and within their power and scope of duty to guard against and prevent the administration of any drug, medication or other substance, including permissible medication in excess of the maximum allowable level, to any horse entered or to be entered in an official workout or race, as prohibited by these rules.

16.47.1.8(U)(2) Protection of horses No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care. 16.47.1.10(B)(1)(2)Absolute Insurer

(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant is a person whose conduct or reputation may adversely reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meeting, interfering with the orderly conduct of a race meeting shall include, but is not limited to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds; the fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule. 15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the license was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit 15.2.6.9(B)(1)Penalty Recommendations /15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Ruidoso Downs Stewards



Ruling #:

2020-RUI-43

Track

Ruidoso Downs

Ruling Date:

7/11/2020

Licensee:

ROBERTS, LORI ANN

License Type:

2020 3 Year Trainer

Applicant Id:

24180

License Number:

298656

Ruling #

2020-RUI-43

Action Type:

**Initial Ruling** 

Ruling:

The <u>Trainer's License</u> issued to <u>Lori Roberts</u> is hereby rescinded without prejudice as <u>she</u> appeared before the Board of Stewards on <u>July 11, 2020</u>, and stated that she no longer works in this capacity. <u>Lori Roberts</u> is hereby issued an <u>Owner's License</u>.

#### This ruling is written in accordance with:

16.47.1.8 (M)(2) DURATION OF LICENSE: Which states "A license is valid only under the condition that the licensee remains eligible to hold such a license".

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-44

Track

Ruidoso Downs

Ruling Date:

7/12/2020

Licensee:

BLEA, III ANTONIO R.

License Type:

Applicant Id:

4852

lube (U

License Number:

Ruling #

2020-RUI-44

Action Type:

**Initial Ruling** 

Ruling:

Trainer Anthony Blea III was duly notified and waived his right to ten day notice and his disciplinary hearing before the Board of Stewards on July 9, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Omeprazole Sulfide (class 5 penalty category D MINOR drug) found in test sample E372458 taken from the second place finisher "Very Cherry" following the 2nd race, on June 20, 2020.

The certificate of analysis indicated a finding of Omeprazole Sulfide at 330 ng/ml  $\pm 1.7$  ng/ml at 10 ng/ml in the blood, which exceeds the allowable limit of 10.0 ug/ml in the blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Anthon Blea III.

Anthon Blea III, is the trainer of record for the horse "Very Cherry",

For Anthony Blea IIIs' FIRST Class 4C MINOR medication violation in a 365-day period, the Board of Stewards hereby issues a

- 1. A warning letter, per 15.2.6.9(B)(6)
- 2. There is no change to the official order of finish in the 1st race on June 20, 2020 at Ruidoso Downs Racetrack.

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/15.2.6.9(C)(1)Medication Restrictions/15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - //15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling

Vichal McMiller



Ruling #:

2020-RUI-45

Track

Ruidoso Downs

Ruling Date:

7/12/2020

Licensee:

REYES, FRANK

License Type:

Applicant Id:

116762

License Number:

Ruling #

2020-RUI-45

Action Type:

Initial Ruling

Ruling:

Jockey, Frank Reyes was summoned and appeared before the Board of Stewards on July12, 2020, for careless riding during the running of the 9th race on July 11th, 2020 at Ruidoso Downs Racetrack while aboard "DAKOTA", which resulted in a suspension of seven days. (drifted in at the 1/16 pole impeding the #5 and caused him to alter course.)

The Board of Stewards hereby assess <u>Frank Reyes</u> a suspension of <u>7 calendar days (July 19, 2020, through July 25, 2020, inclusive)</u> The term of this suspension shall not prohibit participation in designated race days.

# Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)

(4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T)

KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary M

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-46

Track

Ruidoso Downs

Ruling Date:

7/13/2020

Licensee:

HUDSON, KALIE SUSAN

License Type:

2020 1 Year Exercise P Applicant Id:

License Number: 297223

30548

Ruling #

2020-RUI-46

Action Type:

**Initial Ruling** 

Ruling:

Exercise Person Kalie Hudson, is hereby summarily suspended for submitting a positive drug test for methamphamine when requested by Ruidoso Downs Security, (Ron Burnum) on July 13, 2020. Furthermore, Ms. Hudson was excluded from Ruidoso Downs for her conduct.

For this violation, the Board of Stewards issue Kalie Hudson the following penalty:

A summary suspension of any/all New Mexico Racing Commission licenses, effective immediately <u>July 13</u>, 2020.

During said time of applicable suspension <u>Kalie Hudson</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC pending the outcome of <u>her</u> hearing <u>July 23rd, 2020 at 9:30 a.m.</u> in the offices of the <u>Board of Stewards at Ruidoso Downs (26225 US-70, Ruidoso NM 88346)</u> per <u>15.2.1.9 (B)</u> (3)(b) <u>Summary Suspension and 15.2.1.9 (C)(21)(a-b)</u> Exclusion.

#### Licensee is found in violation of:

15.2.1.9(B)(3)(a) Summary Suspension Which states in pertinent part "If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing".

16.47.1.17 (C)(6) Human Drug Testing/ Restricted Activities It shall be an offense to exercise the privileges granted by a license from this commission if the licensee: refuses to submit to urine or drug testing, when notified that such testing is based on a random drug testing procedure, is based on reasonable suspicion that the person is using drugs or alcohol or is based on the licensee's acting as if in an impaired condition.

15.2.2.8(V)(1) EJECTION AND EXCLUSION An association shall immediately eject from the association grounds a person who is subject to such an exclusion order of the commission or stewards and notify the commission of the ejection.

15.2.2.8(V)(2) EJECTION AND EXCLUSION An association may eject or exclude a person for any lawful reason. An association shall immediately notify the stewards and the commission in writing of any person ejected or excluded by the association and the reasons for the ejection or exclusion.

#### This ruling is written in accordance:

15.2.1.9 (B)(3)(b) Summary Suspension

15.2.3.8 B (3)(f) Stewards Disciplinary Action

16.47.1.8 (L)(1)(i) - Conduct Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing"

15.2.3.8 B (3)(A) Stewards Disciplinary Action stewards shall take notice of alleged misconduct or rule violations and initiate investigations into the matters. The stewards shall have authority to charge any licensee for a violation of these rules, to conduct hearings and to impose disciplinary action in accordance with these rules.

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority 16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(1) License Required 16.47.1.8 (A)(2) License Required

10.47.1.6 (A)(2) License Required

16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Keeks Jans



Ruling #:

2020-RUI-47

Track

Ruidoso Downs

Ruling Date:

7/13/2020

Licensee:

RICHARDS, RODNEY CARLTON

License Type:

2018 3 Year Trainer

Applicant Id:

5534

License Number:

292093

Ruling #

2020-RUI-47

Action Type:

**Initial Ruling** 

Ruling:

Trainer Rodney C. Richards was duly notified and waived his right to ten day notice and his disciplinary hearing before the Board of Stewards on July 11, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Omeprazole Sulfide (class 5 penalty category D MINOR drug) found in test sample E372457 taken from the first place finisher "Miss Rules" following the 2nd race, on June 20, 2020.

The certificate of analysis indicated a finding of Omeprazole Sulfide at 330 ng/ml  $\pm 1.7$  ng/ml at 10 ng/ml in the blood, which exceeds the allowable limit of 10.0 ug/ml in the blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Rodney Richards.

Rodney Richards, is the trainer of record for the horse "Miss Rules",

For Trainer, Rodney Richards' FIRST Class 5 D medication violation the Board of Stewards hereby issues a

- 1. A warning letter, per 15.2.6.9(B)(6)
- 2. There is no change to the official order of finish in the 1st race on June 20, 2020 at Ruidoso Downs Racetrack.

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/15.2.6.9(C)(1)Medication Restrictions/15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - //15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission

offices, or with the Stewards who issued the ruling

and fine



Ruling #: 2020-RUI-48
Track Ruidoso Downs

Ruling Date: 7/18/2020

Licensee: GONZALEZ, JUAN CARLOS

License Type: 2020 3 Year Trainer Applicant Id: 31495

License Number: 297636

Ruling # 2020-RUI-48

Action Type: Initial Ruling

Ruling:

Trainer <u>Juan Carlos Gonzalez</u> was duly notified and appeared\_before the Board of Stewards on <u>July 13, 2020</u>. The hearing was in regard to a confirmed positive for the drug <u>Formoterol (class 3 penalty category B drug)</u>, found in test sample <u>E369678</u>. The test sample was taken from the <u>SECOND place finisher "Vgc Fredo"</u> following <u>the 4th race on February 29, 2020, at Sunland Park.</u>

The certificate of analysis from <u>Industrial Laboratories (official testing laboratory for the NMRC)</u> indicated a finding of <u>Formoterol</u>, confirmed in the urine with no permissible levels (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to <u>Juan Carlos Gonzalez and confirmed on 04/06/2020 by Analytical Toxicology Laboratory.</u>

For Juan Carlos Gonzalez's First Class 3B medication violation in a 365- day period, issued in accordance with 15.2.6.9 class (3)(B) PENALTY RECOMMENDATIONS, the Board of Stewards hereby assess penalty as follows:

- 1. A five hundred dollar, (500) fine to paid on or before August 14, 2020, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine in the prescribed time shall result in an immediate suspension.
- 2. A 15 day suspension of all NMRC licenses starting July 18, 2020, through August 1, 2020, inclusive.
- 3. The horse "Vgc Fredo" must pass a commission approved veterinary examination before being eligible to enter.
- 4. The horse "Vgc Fredo" is hereby disqualified from SECOND PLACE and ordered unplaced from the 4th race on February 29, 2020, at Sunland Park, for all but pari-mutuel wagering. There is a loss of purse.

During said time of applicable suspension <u>Juan Carlos Gonzalez</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9 (C)(21)</u>. Additionally, effective upon applicable suspension dates, all horses <u>trained</u>, <u>owned</u>, <u>or in the care and custody</u> of <u>Juan Carlos Gonzalez</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>trained</u>, <u>owned</u>, <u>or in the care and custody</u> of <u>Juan Carlos Gonzalez</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited Substances</u>, <u>16.47.1.10 (D) 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION</u>.

Licensee is found to be in violation of and this ruling is written in accordance with 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards /15.2.6.9(C)(4) Medication Restrictions/15.2.6.11 (A)Trainer Responsibility/16.47.1.8(T)Knowledge of the Rules/16.47.1.8(U) Protection of Horses/ 16.47.1.10(B)(1)(2)(3) Absolute Insurer/ 16.47.1.8(U)(1) Protection of Horses/ 15.2.6.9(F)Permissible Medications With Acceptable Levels /15.2.6.9(B)(4)Penalty Recommendations/15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-49

Track

Ruidoso Downs

Ruling Date:

7/18/2020

Licensee:

GONZALEZ, JUAN CARLOS

License Type:

2020 3 Year Trainer

Applicant Id:

31495

License Number: 297636

Ruling #

2020-RUI-49

**Action Type:** 

Initial Ruling

Ruling:

Trainer Juan Carlos Gonzalez was duly notified and appeared before the Board of Stewards on July 13, 2020. The hearing was in regard to a confirmed positive for the drug Methocarbamol (class 4 penalty category C drug), found in test sample E369701. The test sample was taken from the FIRST place finisher "Close to Crazy" following the 3rd race on March 1, 2020, at Sunland Park.

The certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC) indicated a finding of Methocarbamol, 18.8 ng/mL confirmed in the blood with permissible levels, Authorized at 1 ng/mL (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to Juan Carlos Gonzalez and confirmed at 18.3 +/- 0.5 ng/mL on 04/06/2020 by Analytical Toxicology Laboratory.

For Juan Carlos Gonzalez's First Class 4C but second medication violation in a 365- day period, issued in accordance with 15.2.6.9 class (4)(C) PENALTY RECOMMENDATIONS, the Board of Stewards hereby assess penalty as follows:

- 1. A Fifteen hundred dollar, (1,500) fine to paid on or before August 18, 2020, in accordance with 15.2.3.8 (B)(3)(I) NMAC. Failure to pay the fine in the prescribed time shall result in an immediate suspension.
- 2. A 15 day suspension of all NMRC licenses starting August 2, 2020, through August 15, 2020, inclusive.

During said time of applicable suspension Juan Carlos Gonzalez is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21). Additionally, effective upon applicable suspension dates, all horses trained, owned, or in the care and custody of Juan Carlos Gonzalez are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Juan Carlos Gonzalez must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D) 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

Licensee is found to be in violation of and this ruling is written in accordance with 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards /15.2.6.9(C)(4) Medication Restrictions/15.2.6.11 (A)Trainer Responsibility/16.47.1.8(T)Knowledge of the Rules/16.47.1.8(U) Protection of Horses/16.47.1.10(B)(1)(2)(3) Absolute Insurer/ 16.47.1.8(U)(1) Protection of Horses/ 15.2.6.9(F)Permissible Medications With Acceptable Levels /15.2.6.9(B)(4)Penalty Recommendations/15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-50

Track

Ruidoso Downs

Ruling Date:

7/18/2020

Licensee:

VALENZUELA, BELLA

License Type:

2019 3 Year Owner

Applicant Id:

1876

License Number:

293495

Ruling #

2020-RUI-50

Action Type:

**Initial Ruling** 

Ruling:

Owner, Bella Valenzuela was duly notified and was represented by her Trainer, Juan Carlos Gonzalez before the Board of Stewards on July 13, 2020. The hearing was in regard to a confirmed positive for the drug Methocarbamol (class 4 penalty category C drug), found in test sample E369701. The test sample was taken from the FIRST place finisher "Close to Crazy" following the 3rd race on March 1, 2020, at Sunland Park.

The certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC) indicated a finding of Methocarbamol., 18.8 ng/mL confirmed in the blood with permissible levels, Authorized at 1 ng/mL (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to Juan Carlos Gonzalez and confirmed at 18.3 +/- 0.5 ng/mL on 04/06/2020 by Analytical Toxicology Laboratory.

For Owner, Bella Valenzuela's First Class 4C medication violation in a 365- day period, issued in accordance with 15.2.6.9 class (4)(C) PENALTY RECOMMENDATIONS, the Board of Stewards hereby assess penalty as follows:

- 1. Loss of purse
- 2. The horse "Close to Crazy" must pass a commission approved veterinary examination before being eligible to enter.
- 3. The horse "Close to Crazy" is hereby disqualified from FIRST PLACE and ordered unplaced from the 3rd race on March 1, 2020, at Sunland Park, for all but pari-mutuel wagering. The purse will be re-distributed and the new order of finish is as follows:
  - 1. (2) Runner Chick
  - 2. (6) Hollywood N Crown
  - 3.(9) Perrys Regard
  - 4. (3) Man of Faith
  - 5. (8) Finnley
  - 6 (7) Women in Redd
  - 7. (1) Daddys Habits
  - 8. (5) Osbaldo Lady
  - 10. (10) Meleros Dash
    - (4) Close to Crazy- UNPLACED

During said time of applicable suspension <u>Juan Carlos Gonzalez</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9 (C)(21)</u>. Additionally, effective upon applicable suspension dates, all horses <u>trained</u>, <u>owned</u>, <u>or in the care and custody</u> of <u>Juan Carlos Gonzalez</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>trained</u>, <u>owned</u>, <u>or in the care and custody</u> of <u>Juan Carlos Gonzalez</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited Substances</u>, <u>16.47.1.10 (D)</u> <u>15.2.1.9(B)(8)(b)</u> <u>DUE PROCESS AND DISCIPLINARY ACTION</u>.

Licensee is found to be in violation of and this ruling is written in accordance with 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards /15.2.6.9(C)(4) Medication Restrictions/15.2.6.11 (A)Trainer Responsibility/16.47.1.8(T)Knowledge of the Rules/16.47.1.8(U) Protection of Horses/ 16.47.1.10(B)(1)(2)(3) Absolute Insurer/ 16.47.1.8(U)(1) Protection of Horses/ 15.2.6.9(F)Permissible Medications With Acceptable Levels /15.2.6.9(B)(4)Penalty Recommendations/15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500.



Ruling #:

2020-RUI-51

Track

Ruidoso Downs

Ruling Date:

7/18/2020

Licensee:

GOMEZ, ELSA ADRIANA

License Type:

2018 3 Year Owner

Applicant Id:

31808

License Number:

290779

Ruling #

2020-RUI-51

Action Type:

**Initial Ruling** 

Ruling:

Owner, Elsa A. Gomez was duly notified and was represented by her Trainer, Juan Carlos Gonzalez before the Board of Stewards on <u>July 13, 2020.</u> The hearing was in regard to a confirmed positive for the drug <u>Formoterol (class 3 penalty category B drug)</u>, found in test sample <u>E369678</u>. The test sample was taken from the <u>SECOND place finisher</u> "<u>Vgc Fredo"</u> following the 4th race on February 29, 2020, at <u>Sunland Park</u>.

The certificate of analysis from <u>Industrial Laboratories</u> (official testing laboratory for the NMRC) indicated a finding of <u>Formoterol</u>. 18.8 ng/mL confirmed in the urine with no permissible levels. (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to <u>Juan Carlos Gonzalez and confirmed on 04/06/2020 by Analytical Toxicology Laboratory</u>.

For Owner, Elsa A. Gomez's First Class 4C medication violation in a 365- day period, issued in accordance with 15.2.6.9 class (4)(C) PENALTY RECOMMENDATIONS, the Board of Stewards hereby assess penalty as follows:

- 1. Loss of purse
- 2. The horse "Vgc Fredo" must pass a commission approved veterinary examination before being eligible to enter.
- 3. The horse "Vgc Fredo" is hereby disqualified from SECOND PLACE and ordered unplaced from the 4th race on February 29, 2020, at Sunland Park, for all but pari-mutuel wagering. The purse will be re-distributed and the new order of finish is as follows:
  - 1. (8) Thisizit
  - 2. (10) Smith Jerrod
  - 3.(2) Discrete
  - 4. (4) Dirty Mike
  - 5. (9) Mr Eye N the Sky
  - 6 (1) Jess De Capo
  - 7. (7) Seis Fire
  - 8. (5) Political Boss

(3) Vgc Fredo- UNPLACED

During said time of applicable suspension <u>Juan Carlos Gonzalez</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9 (C)(21)</u>. Additionally, effective upon applicable suspension dates, all horses <u>trained</u>, <u>owned</u>, <u>or in the care and custody</u> of <u>Juan Carlos Gonzalez</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>trained</u>, <u>owned</u>, <u>or in the care and custody</u> of <u>Juan Carlos Gonzalez</u> must be done in accordance with <u>15.2.6,9A(12)(a)(b) Medication and Prohibited Substances</u>, <u>16.47.1.10 (D) 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION</u>.

Licensee is found to be in violation of and this ruling is written in accordance with 15,2.3.8(B)(1)(3)(f)(g)(j)Stewards /15.2.6.9(C)(4) Medication Restrictions/15.2.6.11 (A)Trainer Responsibility/16.47.1.8(T)Knowledge of the Rules/16.47.1.8(U) Protection of Horses/ 16.47.1.10(B)(1)(2)(3) Absolute Insurer/ 16.47.1.8(U)(1) Protection of Horses/ 15,2.6.9(F)Permissible Medications With Acceptable Levels /15,2.6.9(B)(4)Penalty Recommendations/15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500.

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Ruling #:

2020-RUI-52

Track

Ruidoso Downs

Ruling Date:

7/19/2020

Licensee:

MEDRANO, ALBERTO T.

License Type:

2019 3 Year Jockey

Applicant Id:

20151

License Number:

293973

Ruling #

2020-RUI-52

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Albert Medrano having been summoned and having appeared telephonically before the Board of Stewards on July 17, 2020 for excessive use of the whip (46 times) while aboard the # 6 "PIRATE COVES HERO" in the 10th race on July 12th, 2020 at Ruidoso Downs.

For this rule violation, the Board of Stewards' hereby assessed Albert Medrano a fine in the amount of Five Hundred dollars (\$ 500.00). The fine is to be paid on or before August 19th, 2020, in accordance with 15.2.3.8(B)(3)(1). Failure to pay fine within time prescribed shall result in immediate suspension.

#### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

Myanglord

Michael Malleller

Janka Luis



Ruling #:

2020-RUI-53

Track

Ruidoso Downs

Ruling Date:

7/19/2020

Licensee:

RAMOS, MANUEL EDUARDO

License Type:

Applicant Id:

162282

License Number:

Ruling #

2020-RUI-53

Action Type:

**Initial Ruling** 

Ruling:

Jockey, Manuel Ramos having been summoned and having been represented by Jockey, Reyes Gomez before the Board of Stewards on July 18, 2020 for excessive use of the whip while aboard the # 4 "BIG DADDY RF" in the 4th race on July 17th, 2020 at Ruidoso Downs.

For this rule violation, the Board of Stewards' hereby assessed Manuel Ramos a fine in tha amount of Five Hundred dollars (\$ 500.00). The fine is to be paid on or before August 19th, 2020, in accordance with 15.2.3.8(B)(3)(1). Failure to pay fine within time prescribed shall result in immediate suspension.

#### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The f

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-54

Track

Ruidoso Downs

Ruling Date:

7/19/2020

Licensee:

GARCIA-LUNA, FELIPE D

License Type:

Applicant Id:

30961

License Number:

Ruling #

2020-RUI-54

Action Type:

**Initial Ruling** 

Ruling:

Jockey Felipe Garcia Luna was summoned and appeared before the Board of Stewards on July 12, 2020, for extremely reckless and careless riding during the running of the 10th race on July 11th, 2020 at Ruidoso Downs Racetrack while aboard "RUN AWAY AND HIDE", which resulted in his mount being disqualified from 7th and placed 10th. (bore out throughout impeding the #11 who hit the outside rail, which cost him a placement.)

The Board of Stewards hereby assess a suspension of <u>10 calendar days (July 20, 2020, through July 29, 2020, inclusive)</u> The term of this suspension shall prohibit participation in designated race days.

#### Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E) (4)(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-55

Track

Ruidoso Downs

Ruling Date:

7/20/2020

Licensee:

MARQUEZ, DAVID G

License Type:

2019 1 Year Owner

Applicant Id:

161042

License Number: 295092

Ruling #

2020-RUI-55

Action Type:

**Initial Ruling** 

Ruling:

Owner David G. Marquez, was duly notified and appeared for his hearing before the Board of Stewards on June 20, 2020. The hearing was in regard to the confirmed positive of the Drug Class 3 Penalty Class A (Quarter Horses), Controlled Substance, clenbuterol, found in the urine, test sample #E317291 taken from the first place finisher, "Bar Three T", in the 6th race on August 2, 2019, at the Downs of Albuquerque.

The Board of Stewards received the results from University Of California, Davis which confirmed the presence of clenbuterol in split sample # E317291.

In accordance with 15.2.1.9 (C)(21), David G. Marquez is the Owner of record for the horse, "Bar Three T". For David G. Marquezs' first lifetime 3A medication violation issued in accordance with 15.2.6.9(B)(1) Penalty Recommendations, The Board of Stewards hereby assess the penalty as follows.

- 1. Loss of purse
- 2. The horse "BarThree T" must pass a commission approved veterinary examination before being able to enter.
- 3. The horse "Bar Three T" is hereby disqualified from first place and ordered unplaced from the 6th race on August 2, 2019 at the Downs in Albuquerque Race Track for all but par-mutuel wagering. The purse wil be redistributed and the new order of finish is as follows:
- 1. (3) Queen With Action
- 2. (10) Lh Holy Terror
- 3. (8) Kiaras Man
- 4. (5) Sexy Jess
- 5. (1) Dazzlin Darzzy
- 6. (2) Tejana James
- 7. (4) Fortunate Streaker
- 8. (9) La Brianna
- 9. (6) Curlys Fast

Bar Three T- unplaced

15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 16.47.1.10 (D)(5)Assistant Trainers and 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.6 NMAC Attachment #1 ARC Uniform Classification of Substances-Version 14.0- January 2019 pg 18- clenbuterol Class A Classification

for Quarter Horses

15-2-6.9(C)(8 (a)(9)(10)(a)(b)(c)(d) Medication Restrictions 15.2.6.9(B)(1) Penalty Recommendation



Ruling #: 2020-RUI-56 Track Ruidoso Downs

Ruling Date: 7/20/2020

Licensee: WOOD, G BLANE

License Type: Applicant Id: 16940

License Number:

Ruling # 2020-RUI-56

Action Type: **Initial Ruling** 

Ruling:

Trainer, G. Blane Wood having been duly notified and waived his right to a ten day notice and hearing before the Board Of Stewards on July 20, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMSRC), for a confirmed positive for the drug, Triamcinolone Acetonide confirmed in blood at 240 pg/ml. The measurement of uncertainty is +/- 17 pg/ml at the 100 pg/ml threshold, (class 4C-Major penalty) found in test sample E372580 taken from the 1st place finisher "CALIENTE CARAMELO" following the 6th race on July 3rd 2020 at Ruidoso Downs Race Track.

The original lab report indicated a finding of **Triamcinolone Acetonide at 240 pg/ml in the blood**, the allowable limit for Triamcinolone Acetonide is 100 pg/ml in the blood (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to trainer but denied.

Tim Fulton is the owner of record for horse "CALIENTE CARAMELO" was represented by G. Blane Wood and waived his right to the ten day notice and hearing.

For G. Blane Woods' FIRST Class 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows:

1. A (\$1,000) One thousand dollar fine to paid on or before August 20th, 2020, in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay fine in prescribed time will result in an immediate suspension.

Licensee is found in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)(g)(j) Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/15.2.6.11(A)Trainer Responsibility/16.47.1.8(T) Knowledge of the Rules/ 16.47.1.8(U)(1)Protection of Horses/ 16.47.1.10(B)(1)(2)(3)Absolute Insurer/ 15.2.6.9(B)Penalty Recommendations.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #: 2020-RUI-57
Track Ruidoso Downs
Ruling Date: 7/20/2020

Licensee: FULTON, TIMOTHY LYNN

License Type: Applicant Id: 7517

License Number:

Ruling # 2020-RUI-57

Action Type: Initial Ruling

Ruling:

Owner Fulton Quien Sabe Ranches, LP (<u>Tim Fulton ET AL</u>) having been duly notified and waived his right to a ten day notice and hearing before the <u>Board Of Stewards was represented by his Trainer G. Blane Wood on July 20, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMSRC), for a confirmed positive for the drug, Triamcinolone Acetonide confirmed in blood at 240 pg/ml. The measurement of uncertainty is +/- 17 pg/ml at the 100 pg/ml threshold, (class 4C-Major penalty) found in test sample <u>E372250</u> taken from the <u>1st place finisher</u> "<u>CALIENTE</u> <u>CARAMELO</u>" following the 6th race on July 3th 2020 at Ruidoso Downs Race Track.</u>

The original lab report indicated a finding of **Triamcinolone Acetonide** at 240 pg/ml in the blood, the allowable limit for **Triamcinolone Acetonide** is 100 pg/ml in the blood (15.2.6.9 Attachment 2 Page 3). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to trainer but denied.

For Tim Fultons' FIRST Class 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows:

- **1. Disqualification and loss of purse**, the horse "CALIENTE CARAMELO" is disqualified from 1st place on July 3, 2020 at Ruidoso Downs, for all but pari-mutuel wagering. The purse redistribution is as follows:
  - 1. (10) Empressum
  - 2. (4) Remember Then
  - 3 (2) Famous Cartel Jess
  - 4 (5) Famous Eagle Lady
  - 5 (9) Big Ezzy Jess
  - 6 (7) Eye N Stone
  - 7. (6) Ms Kates Princess
  - 8. (8) Pieorities
  - 9. (1) Pollitical Lady V

Unplaced- Caliente Caramelo

2. The horse must pass a commission approved examination before being eligible to run.

Licensee is found in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)(g)(j) Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/15.2.6.11(A)Trainer Responsibility/16.47.1.8(T) Knowledge of the Rules/16.47.1.8(U)(1)Protection of Horses/16.47.1.10(B)(1)(2)(3)Absolute Insurer/

15.2.6.9(B)Penalty Recommendations.

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Ruling #:

2020-RUI-58

Track

Ruidoso Downs

Ruling Date:

7/23/2020

Licensee:

PARGA-LEOS, JAIME

License Type:

2020 3 Year Jockey

Applicant Id:

30593

License Number:

297659

Ruling #

2020-RUI-58

Action Type:

**Initial Ruling** 

Ruling:

Jockey Jaime Parga Leos was summoned and appeared before the Board of Stewards on July 11, 2020, for careless riding during the running of the 7th race on July 10th, 2020 at Ruidoso Downs racetrack while aboard "EAGLES AND DRAGONS", which resulted in his mount being disqualified from FIRST (1ST) place to THIRD (3RD). (Bore out and impeded the 3 horse when he had to pull out and cost him a placement in the race .)

The Board of Stewards hereby assess Jaime Parga Leos a suspension of 7 calendar days (July 19, 2020, through July 25, 2020, inclusive The term of this suspension shall not prohibit participation in designated race days.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)

(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-59 Track Ruidoso Downs

Ruling Date: 7/23/2020

Licensee: YOAKUM, JERRY LEE

License Type: 2020 1 Year Jockey Applicant Id: 7440

> License Number: 297957

Ruling # 2020-RUI-59

Action Type: **Initial Ruling** 

Ruling:

Jockey, Jerry Lee Yoakum, having been summoned and having appeared before the Board of Stewards on July, 19th, 2020, for careless riding during the running of the 2nd race on July 18th, 2019, at Ruidoso Downs Racetrack while aboard "Midnight Train Mo" which resulted in his mount being disqualified from eighth place to tenth for impeding the #2 horse. (Bore in sharply under a right handed stick)

For this violation, the Board of Stewards assessed <u>Jerry Lee Yoakum</u> a suspension of <u>7 calendar days</u> (July 31st thru August 6th, 2020). Jerry Lee Yoakum is allowed to ride designated races.

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

#### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.5.13(E)(3)(d) NMAC: Post to Finish The offending horse may be disqualified, if in the opinion of the stewards, the foul altered the finish of the race, regardless of whether the foul was accidental, willful or the result of careless riding.

15.2.5.13(E)(4)(a) NMAC Disqualification. When the stewards determine that a horse shall be disqualified for interference, they may place the offending horse behind such horse as in their judgment it interfered with, or they may place it last

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (3)(A) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

15.2.1.9(b): Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday of legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal horiday. The



Ruling #:

2020-RUI-59

Track

Ruidoso Downs

Ruling Date:

7/23/2020

Licensee:

YOAKUM, JERRY LEE

License Type:

2020 1 Year Jockey

Applicant Id:

7440

License Number:

297957

appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-60

Track

Ruidoso Downs

Ruling Date:

7/23/2020

Licensee:

GARCIA, JR NOE

License Type:

Applicant Id:

157715

License Number:

Ruling #

2020-RUI-60

Action Type:

**Initial Ruling** 

Ruling:

Jockey Noe Garcia Jr., having been summoned and appeared before the Board of Stewards on July 19th, 2020, for careless riding during the running of the 2nd race on July 18th at Ruidoso Downs, while aboard "#10 Tellers Diamond". (lugged in sharply deep stretch; soundly bumping # 3,"Nolans Dragon". #3 Prevailed so as not to require a disqualification.)

For this violation, the Board of Stewards assessed <u>Noe Garcia Jr.</u> a suspension of 7 Calendar days, (<u>July 31st thru August 6th, 2020</u>). <u>Noe Garcia Jr.</u> is allowed to ride designated races. This ruling is in accordance with:

#### Licensee is found in violation of:

15.2.5.13(E)(2)(a) NMAC: Post to Finish Which states in pertinent part that "A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) NMAC: Post to Finish (d) In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

#### This ruling is written in accordance with:

15.2.5.13(E)(3)(c) NMAC: Post to Finish Which states in pertinent part that "If the Stewards determine the foul was intentional, or due to careless riding, they may fine or suspend the guilty jockey."

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys

15.2.3.8 B (3)(f) Stewards Disciplinary Action

15.2.3.8 B (3)(A) Stewards Disciplinary Action

15.2.3.8 B (3)(A) Stewards Disciplinary Action 15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A)(1) License Required

16.47.1.8 (A)(2) License Required

16.47.1.8(N) Last Known Address

15.2.1.9(b): Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main dommission

offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-61

Track

Ruidoso Downs

Ruling Date:

7/26/2020

Licensee:

ALDAVAZ, SR JAIME G.

License Type:

2019 3 Year Trainer

Applicant Id:

19631

License Number: 294047

Ruling #

2020-RUI-61

Action Type:

**Initial Ruling** 

Ruling:

Trainer Jaime Aldavaz Jr. was duly notified and waived his right to ten day notice and his disciplinary hearing before the Board of Stewards on July 25, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Omeprazole Sulfide (class 5 penalty category D MINOR drug) found in test sample E372605 taken from the first place finisher "Jess Arrogant" following the 1st race, on July 4, 2020.

The certificate of analysis indicated a finding of Omeprazole Sulfide at 19.0 ng/ml ±1.7 ng/ml at 10 ng/ml in the blood, which exceeds the allowable limit of 10.0 ug/ml in the blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Jaime Aldavaz.

Jaime Aldavaz Jr., is the trainer of record for the horse "Miss Rules",

For Trainer, Jaime Aldavaz Jr. FIRST Class 5 D medication violation the Board of Stewards hereby issues a

- 1. A warning letter, per 15.2.6.9(B)(6)
- 2. There is no change to the official order of finish in the 1st race on July 4, 2020 at Ruidoso Downs Racetrack.

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/15.2.6.9(C)(1)Medication Restrictions/15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - //15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-62

Track

Ruidoso Downs

Ruling Date:

7/26/2020

Licensee:

SEDILLO, TONY E

License Type:

2019 3 Year Trainer

Applicant Id:

16607

License Number:

292783

Ruling #

2020-RUI-62

Action Type:

**Initial Ruling** 

Ruling:

Trainer, Tony E. Sedillo was duly notified and waived his right to a ten day notice and his disciplinary hearing before the Board of Stewards on July 25, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Omeprazole Sulfide (class 5 penalty category D MINOR drug) found in test sample E372578 taken from the first place finisher "DISTNCTIVE DELIGHT" following the 5th race, on July 4, 2020.

The certificate of analysis indicated a finding of Omeprazole Sulfide at  $44.0 \text{ ng/ml} \pm 1.7 \text{ ng/ml}$  at 10 ng/ml in the blood, which exceeds the allowable limit of 10.0 ug/ml in the blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Tony E. Sedillo.

Tony E. Sedillo, is the trainer of record for the horse "DISTINCTIVE DELIGHT",

For Trainer, Tony E. Sedillo' FIRST Class 5 D medication violation the Board of Stewards hereby issues a

- 1. A warning letter, per 15.2.6.9(B)(6)
- 2. There is no change to the official order of finish in the 5th race on July 4, 2020 at Ruidoso Downs Racetrack.

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/15.2.6.9(C)(1)Medication Restrictions/15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - //15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required/16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission of the control of the ruling of the ru

offices, or with the Stewards who issued the ruling.



Ruling #: 2020-RUI-63
Track Ruidoso Downs

Ruling Date: 7/26/2020

Licensee: TENA,JR. HUMBERTO

License Type: 2019 3 Year Trainer Applicant Id: 34010

License Number: 293873

Ruling # 2020-RUI-63

Action Type: Initial Ruling

Ruling:

Trainer, Humberto Tena Jr., had a hearing on July 24, 2020, (a) 11:30 a.m telephonically, waived his rights to a ten (10) day notice of hearing. The Board of Stewards at Ruidoso Downs Racetrack (26225 US-70, Ruidoso NM 88346) per 15.2.1.9 (B)(3)(b) Summary Suspension. The summary suspension was in regard to a confirmed positive of the Drug Class 3 Penalty Class A (Quarter Horses), Controlled Substance, clenbuterol, found in the urine, test sample # E372670 taken from the third (3rd) place finisher, "True Romance", in the third (3rd) race on July 10th 2020 at Ruidoso Downs. The Board of Stewards have continued this case pending results from the split sample for a later date.

In accordance with 15.2.1.9 (C)(21), Humbrto Tena Jr. is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC. Additionally, effective upon applicable suspension dates, all horses owned, trained, or under the care of Humberto Tena Jr. are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c). All transfers and sales of horses trained, owned, or in the care and custody of Humberto Tena Jr. must be done in accordance with 15.2.6.9A(12)(a)(b) Medication and Prohibited Substances, 15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION.

#### Licensee is found in violation of and this ruling is written in accordance with:

15.2.6.9(C)(8)(a)(9)(10)(a)(b)(c)(d)Medication Restrictions

15.2.6.9(A)(4)MEDICATIONS AND PROHIBITED SUBSTANCES Penalties Any drug or metabolite thereof found to be presenting a pre- or post-race sample which is not classified in the association of racing commissioners international uniform classification guidelines for foreign substances shall be assumed to be an association of racing commissioners international class 1 drug and the trainer and owner shall be subject to those penalties as set forth in penalty category A unless satisfactorily demonstrated otherwise by the racing medication and testing consortium, with a penalty category assigned.

15.2.1.9(B)(3)(a) Proceedings Before The Stewards: Summary Suspension If the Stewards determine that a licensee's action constitute an immediate danger to the public health, safety, or welfare, the Stewards may summarily suspend the licensee pending a hearing.

16.47.1.8 (U)(1) PROTECTION OF HORSES Each person licensed by the commission shall do all that is reasonable and within their power and scope of duty to guard against and prevent the administration of any drug, medication or other substance, including permissible medication in excess of the maximum allowable level, to any horse entered or to be entered in an official workout or race, as prohibited by these rules.

16.47.1.8(U)(2) Protection of horses No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care.

16.47.1.10(B)(1)(2)Absolute Insurer

16.47.1.8 (L)(1)(g) has had or is currently excluded from association grounds by a recognized racing jurisdiction;

(i) Grounds for disciplinary measures for a licensee, and refusal, denial, suspension, or revocation of a license The commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures, if the applicant is a person whose conduct or reputation may adversely reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meeting; interfering with the orderly conduct of a race meeting shall include, but is not limited to, disruptive or intemperate behavior or behavior which exposes others to danger anywhere on the racetrack grounds; the fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule. 15.2.1.9(B)(3)(b) Proceedings Before The Stewards: Summary Suspension A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than the 10th day after the license was summarily suspended. The licensee may waive their right to a hearing on the summary suspension within the 10-day limit 15.2.6.9(B)(1)Penalty Recommendations /15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures

By Order of The Ruidoso Downs Stewards

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Ruling #:

2020-RUI-64

Track

Ruidoso Downs

Ruling Date:

7/27/2020

Licensee:

OZHAN, KAMIL

License Type:

2019 3 Year Trainer

Applicant Id:

18006

License Number: 294911

Ruling #

2020-RUI-64

Action Type:

**Initial Ruling** 

Ruling:

Owner/Trainer Kamil Ozhan was duly notified and appeared in his disciplinary hearing before the Ruidoso Downs Board of Stewards on July 3, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Triamcinolone Acetonide (class 4 penalty category C major drug) found in test sample E355012 taken from the first place finisher "Beautiful Bounty" following the 5th race, on December 3, 2020 at Zia Park

The certificate of analysis indicated a finding of Triamcinolone Acetonide at 202 pg/mL ± 14 pg/mL, which exceeds the allowable limit of 100 pg/mL threshold in blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to the trainer Kamil Ozhan In the matter of the 4C Triamcinolone Acetonide positive for Owner/Trainer Kamil Ozhan in the horse Beautiful Bounty, winner of the fifth race (5th) at Zia Park on December 3, 2019. Confirmed at 202 pg/mL in the serum from sample E355012.

In the hearing, Kamil Ozhan presented testimony and evidence that demonstrated his willingness to find resolution/answers in the matter. After hearing testimony and evidence presented, the Ruidoso Board of Stewards find in mitigation that the Owner/Trainer Kamil Ozhan receive consideration of the following:

- 1.) After nearly 20 years licensed as either Owner or Trainer, has never had a medication violation.
- 2.) Has exercised his due diligence in determination of cause for this violation.
- 3.) Exhausted and used his financial resources available via split-sample, DNA testing that exceeded the financial penalty associated.
- 4.) Receiving barn protocols @ Zia Park are in question, as conveyed to licensee by two (2) attending vets at this facility.
- 5.) Triamcinolone, a therapeutic medication at a level of 202 pg/mL in serum raises reasonable suspicion of tampering.
- 6.) Veterinary records for the date prior, show no records for the administration by his backside/attending veterinarian.

The Ruidoso Board of Stewards find the Owner/Trainer Kamil Ozhan, receive the penalty of loss of purse and in mitigation find no financial penalty for this first violation.

For Owner/Trainer Kamil Ozhans' FIRST Class 4 C medication violation the Board of Stewards hereby issues a

1. A warning letter, per 15.2.6.9(B)(6)



Ruling #:

2020-RUI-64

Track

Ruidoso Downs

Ruling Date:

7/27/2020

Licensee:

OZHAN, KAMIL

License Type:

2019 3 Year Trainer

Applicant Id:

18006

License Number:

294911

- 2. The penalty is disqualification, loss of purse and the horse must pass a commission-approved examination before being eligible to run. The official order of finish is as follows:
  - 1.(4) Sexy Bikini Model
  - 2.(2) Let It Roll
  - 3.(1) La Grange Lady
  - 4. (6) Attilas Gal
  - 5. (5) Touch Me III Dance
  - 6.(3) McGlorious
  - 7. (7) Lady Black Jack

Beautiful Bounty- disqualified & unplaced

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/15.2.6.9(C)(1)Medication Restrictions/15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - //15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-65

Track

Ruidoso Downs

Ruling Date:

7/27/2020

Licensee:

SANDERS, GREGG ALLEN

License Type:

Applicant Id:

12485

License Number:

Ruling #

2020-RUI-65

Action Type:

**Initial Ruling** 

Ruling:

Owner/Trainer Gregg Sanders was duly notified and waived his right to ten day notice and his disciplinary hearing before the Board of Stewards on July 25, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Phenylbutazone (class 4 penalty C Minor drug) found in test sample E372696 taken from the second place finisher "Bigg Jack" following the 6th race, on July 11, 2020.

The certificate of analysis indicated a finding of Phenylbutazone at 3.0 ug/ml  $\pm$  0.4 ug/ml at the 2 ug/mL in serum, which exceeds the allowable limit of 2 ug/ml in the blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Gregg Sanders.

Gregg Sanders, is the trainer of record for the horse "Bigg Jack",

For Owner/Trainer, Gregg Sanders FIRST Class 4C medication violation the Board of Stewards hereby issues a

- 1. A warning letter, per 15.2.6.9(B)(6)
- 2. There is no change to the official order of finish in the 6th race on July 11, 2020 at Ruidoso Downs Racetrack.

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/15.2.6.9(C)(1)Medication Restrictions/15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - //15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section" must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-66

Track

Ruidoso Downs

Ruling Date:

7/31/2020

Licensee:

MCREYNOLDS, KELLY GUY

License Type:

2020 1 Year Starter

Applicant Id:

14683

License Number:

297880

Ruling #

2020-RUI-66

Action Type:

**Initial Ruling** 

Ruling:

<u>Starter Kelly Guy McReynolds</u>, NMRC license #297880, having been duly notified, waived hearing in the matter of conduct detrimental before the Board of Stewards on <u>August 10, 2020</u> for using foul and abusive language when speaking to a licensee on July 24, 2020 at Ruidoso Downs Racetrack.

For this rule violation, the Board of Stewards assessed <u>Kelly McReynolds</u> a penalty in the amount of <u>two hundred fifty (250.00) dollars</u>. The fine is to be paid on or before <u>August 30, 2020</u>, in accordance with 15.2.3.8(B)(3)(l) NMAC.

Failure to pay the fine within the time prescribed may result in immediate suspension.

#### Licensee is found to be in violation of:

<u>16.47.1.8 (L)(i) NMAC</u> — Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing".

#### This ruling is written in accordance with:

15.2.3.8 B (3)(f) Stewards Disciplinary Action 15.2.3.8 B (1) (d) Stewards General Authority 16.47.1.8. T. KNOWLEDGE OF RULES: 16.47.1.3 Statutory Authority 16.47.1.8 (A)(1) License Required 16.47.1.8 (A)(2) License Required 16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stowards who issued the ruling.

offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-67

Track

Ruidoso Downs

Ruling Date:

8/1/2020

Licensee:

BRITO, SARAH DANIELLE

License Type:

2017 3 Year Owner

Applicant Id:

160428

License Number: 287899

Ruling #

2020-RUI-67

Action Type:

**Initial Ruling** 

Ruling:

Owner: (Absolute Refrigeration AC/ATG), Sarah Danielle Brito, having been duly notified and was represented by her Trainer, Martin Orona Jr., before the **Board Of Stewards on July 13, 2020, regarding a** lab report from Industrial Laboratories (The official testing laboratory for the NMRC), for a confirmed positive for the drug, Dexamethasone (class 4C-Major penalty drug) found in test sample E372276 taken from the 1st place finisher "Disco Hero" following the 8th race, on May 31, 2020, at Ruidoso Downs Race Track.

The original lab report indicated a finding of Dexamethasone at 36.8 pg/ml +/- 0.6 pg/ml in the blood, the allowable limit for **Dexamethasone** is 5 pg/ml in the blood (15.2.6.9 Attachment 2).

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to the trainer, and denied.

Martin Orona Jr is the trainer of record for horse "Disco Hero"

For Sarah D. Brito's 2nd Class 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows:

- 1. The horse "<u>Disco Hero</u>" must pass a commission-approved examination before becoming eligible to be entered.
- 2. The horse "Disco Hero", is hereby disqualified from First Place and ordered unplaced from the 8th race on May 31, 2020 at Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.

1) Famously Hasty 2) Cupids Fast Arrow

6. BV Last Kiss 7. Ragger

- 3) Eric The Terrible
- 8. Zoom Kiss
- 4) Our Favorite Sweet
- 9. Firstpoliticalaffair
- 5) Tempting Sass

Unplaced "Disco Hero"

Licensee is found in violation of and this ruling is written in accordance with: 15.2.5.14 D (7) Protests, Objections, and Inquiries 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/ 15.2.6.11(A) 15.2.5.13 E (4) (d) Disqualification and purse distribution.

15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of a money order, cashier's check or a corporate check. Last date to appeal:

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, on with the Stewards who issued the ruling.



Ruling #:

2020-RUI-68

Track

Ruidoso Downs

Ruling Date:

8/1/2020

Licensee:

CLERIHEW, RANDAL OLIVER

License Type:

Applicant Id:

9253

License Number:

Ruling #

2020-RUI-68

Action Type:

**Initial Ruling** 

Ruling:

Issued in error

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-69

Track

Ruidoso Downs

Ruling Date:

8/1/2020

Licensee:

MUNOZ, ORLANDO E.

License Type:

2017 3 Year Owner

Applicant Id:

32679

License Number: 288054

Ruling #

2020-RUI-69

Action Type:

**Initial Ruling** 

Ruling:

Owner: Orlando E. Munoz, having been duly notified and was represented by Trainer, Martin Orona Jr., before the Ruidoso Downs Board of Stewards on July 13, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMRC), for a confirmed positive for the drug. Dexamethasone (class 4C-Major penalty drug) found in test sample E372276 taken from the 1st place finisher "Disco Hero" following the 8th race, on May 31, 2020, at Ruidoso Downs Race Track. The original lab report indicated a finding of **Dexamethasone** at 36.8 pg/ml +/- 0.6 pg/ml in the blood, the allowable limit for **Dexamethasone** is 5 pg/ml in the blood (15.2.6.9 Attachment 2). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to the trainer, and denied. Martin Orona Jr is the trainer of record for horse "Disco Hero"

For Orlando Munoz 1st Class 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows:

- 1. The horse "<u>Disco Hero</u>" must pass a commission-approved examination before becoming eligible to be entered.
- 2. The horse "Disco Hero", is hereby disqualified from First Place and ordered unplaced from the 8th race on May 31, 2020 at Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.

1) Famously Hasty

6. BV Last Kiss

2) Cupids Fast Arrow

7. Ragger

3) Eric The Terrible

8. Zoom Kiss

4) Our Favorite Sweet

9. Firstpoliticalaffair

5) Tempting Sass

Unplaced "Disco Hero"

Licensee is found in violation of and this ruling is written in accordance with: 15.2.5.14 D (7) Protests, Objections, and Inquiries 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/ 15.2.6.11(A) 15.2.5.13 E (4) (d) Disqualification and purse distribution.

15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of a money order, cashier's check or a corporate check. Last date to appeal:

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-70

Track

Ruidoso Downs

Ruling Date:

8/2/2020

Licensee:

RUIZ-AMAYA, DANIEL ROBERTO

License Type:

Applicant Id:

33287

License Number:

Ruling #

2020-RUI-70

**Action Type:** 

**Initial Ruling** 

Ruling:

<u>Jockey Daniel Ruiz Amaya</u> was summoned and finally sent a representative (Casey Chavez) before the Board of Stewards on <u>August 1, 2020</u>. The hearing was in regard to <u>Daniel Ruiz Amaya</u> excessive use of the whip on his mount <u>"HEZ OUR STAR"</u> during the running of the <u>2nd race on July 4, 2020, at Ruidoso Down Racetrack.</u>

The Board of Stewards hereby fines <u>Daniel Ruiz Amaya</u> in the amount of <u>Five</u> <u>hundred dollars (\$500.00)</u>. The fine is to be paid on or before <u>September 1, 2020</u>, in accordance with 15.2.3.8(B)(3)(l). Failure to pay the fine within the time prescribed shall result in immediate suspension.

#### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-71
Track Ruidoso Downs

Ruling Date: 8/2/2020

Licensee: RUIZ-AMAYA, DANIEL ROBERTO

License Type: 2019 1 Year Jockey Applicant Id: 33287

License Number: 296619

Ruling # 2020-RUI-71

Action Type: Initial Ruling

Ruling:

Jockey Daniel Ruiz Amaya was summoned and represented by (Casey Chavez) before the Board of Stewards on August 1, 2020. The hearing was in regard to Daniel Ruiz Amaya excessive use of the whip, his second offense this meet on his mount "SEPARATE AGREEMENT" during the running of the 6th race on July 31, 2020, at Ruidoso Down Racetrack.

The Board of Stewards hereby fines <u>Daniel Ruiz Amaya</u> in the amount of <u>One</u> <u>Thousand dollars (\$1,000.00)</u>. The fine is to be paid on or before <u>September 1, 2020</u>, in accordance with 15.2.3.8(B)(3)(l). Failure to pay the fine within the time prescribed shall result in immediate suspension.

#### Licensee is found to be in violation of and this ruling is written in accordance with:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

15.2.1.9(B)(4)(A)Jockeys Notice of Hearing 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority/16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(

### By Order of The Ruidoso Downs Stewards



Ruling #: 2020-RUI-72
Track Ruidoso Downs

Ruling Date: 8/2/2020

Licensee: GONZALEZ,JR JOSE R

License Type: 2020 3 Year Trainer Applicant Id: 32929

License Number: 297377

Ruling # 2020-RUI-72

Action Type: Initial Ruling

Ruling:

<u>Trainer, Jose R. Gonzalez Jr.</u> NMRC license #297379, having been duly notified, waived hearing in the matter of conduct detrimental before the Board of Stewards on <u>August 10, 2020</u> pushing the Starter in the paddock resulting in his fall to the ground in the paddock on July 24, 2020 at Ruidoso Downs Racetrack. This action was the result of a licensed Racing Offical using inappropriate language towards a licensee. This action by Jose Gonzalez Jr was inappropriate, regardless of cause.

For this rule violation, the Board of Stewards assessed <u>Jose R Gonzalez Jr.</u> a penalty in the amount of <u>five hundred (500.00) dollars.</u> The fine is to be paid on or before <u>September 1, 2020</u> in accordance with 15.2.3.8(B)(3)(l) NMAC.

Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of:

16.47.1.8 (L)(i) NMAC – Which states in pertinent part "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued, or order disciplinary measures if the applicant is a person whose conduct or reputation may reflect on the honesty, and integrity of horse racing".

#### This ruling is written in accordance with:

15.2.3.8 B (3)(f) Stewards Disciplinary Action 15.2.3.8 B (1) (d) Stewards General Authority 16.47.1.8. T. KNOWLEDGE OF RULES: 16.47.1.3 Statutory Authority 16.47.1.8 (A)(1) License Required 16.47.1.8 (A)(2) License Required 16.47.1.8(N) Last Known Address

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-73
Track Ruidoso Downs

Ruling Date: 8/2/2020

Licensee: RAMOS, ADRIAN ALFREDO

License Type: 2020 3 Year Jockey Applicant Id: 20427

License Number: 298242

Ruling # 2020-RUI-73

Action Type: Initial Ruling

Ruling:

Jockey, Adrian Ramos having been summoned and appeared before the Board of Stewards on July 31st, 2020, for reporting late to the Clerk of Scale at Ruidoso Downs, for the first race on July 3,2020.

For this violation, the Board of Stewards assessed <u>Adrian Ramos</u> a fine in the amount of One Hundred Dollars (\$100.00). The fine is to be paid on or before September 2, 2020 in accordance with 15.2.3.8 (B)(3)(1) NMAC.

Failure to pay the fine within the time prescribed may result in immediate suspension. This ruling is in accordance with:

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys 15.2.3.8 B (3)(f) Stewards Disciplinary Action 15.2.3.8 B (3)(A) Stewards Disciplinary Action 15.2.3.8 B (1) (d) Stewards General Authority 16.47.1.8. T. KNOWLEDGE OF RULES: 16.47.1.3 Statutory Authority 16.47.1.8 (A)(1) License Required 16.47.1.8 (A)(2) License Required 16.47.1.8(N) Last Known Address

15.2.1.9(b): Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

16.47.1.8(L)(1)(h) NMAC:

Which states that "The Commission may refuse to issue a license to an applicant, or may suspend or revoke a license issued or order disciplinary measures, if the applicant is a person whose conduct or reputation may reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of a race meet. Interfering with the orderly conduct of the race meet shall include, but not limit to, disruptive or intemperate behavior or behavior which exposes otherS to danger anywhere on the racetrack grounds. The fact that the race meet was not actually interrupted is not a defense to the imposition of discipline under this rule."

#### By Order of The Ruidoso Downs Stewards



Ruling #:

2020-RUI-74

Track

Ruidoso Downs

Ruling Date:

8/3/2020

Licensee:

CLERIHEW, RANDAL OLIVER

License Type:

2019 1 Year Owner

Applicant Id:

9253

License Number: 296214

Ruling #

2020-RUI-74

Action Type:

**Initial Ruling** 

Ruling:

Owner: Randal Clerihew, having been duly notified and was represented by Trainer, Rodney Richards, before the Ruidoso Downs Board of Stewards on July 13, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMRC), for a confirmed positive for the drug, Omeprazole (class 5D penalty drug) found in test sample E372457 taken from the 1st place finisher "Miss Rules" following the 2nd race, on June 20, 2020, at Ruidoso Downs Race Track.

The original lab report indicated a finding of Omeprazole at 27.4 ng/ml +/- 1.7 ng/ml in the blood, the allowable limit for Omeprazole 10 pg/ml in the blood (15.2.6.9 Attachment 2).

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to the owner/trainer, and declined. Rodney Richards is the trainer of record for horse "Miss Rules"

For Randal Clerihew 1st Class 5D-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows: A written warning for this overage of therapeutic medication Omeprazole exceeding the permissable threshold level on race day performance. There is no change in the order of finsh in the 2nd race on June 20, 2020 at Ruidoso Downs Racetrack.

Licensee is found in violation of and this ruling is written in accordance with: 15.2.5.14 D (7) Protests, Objections and Inquiries 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/ 15.2.6.11(A) 15.2.5.13 E (4)

15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of a money order, cashier's check or a corporate check. Last date to appeal:

By Order of The Ruidoso Downs Stewards



Ruling #: 2020-RUI-75
Track Ruidoso Downs

Ruling Date: 8/6/2020

Licensee: ORONA, JR MARTIN M.

License Type: 2019 3 Year Trainer Applicant Id: 32292

License Number: 295109

Ruling # 2020-RUI-75

Action Type: Initial Ruling

Ruling:

Trainer, Martin Orona Jr. having been duly notified and waived his right to a ten day notice and hearing before the <u>Board Of Stewards on July 13, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMRC)</u>, for a confirmed positive for the drug, <u>Dexamethasone</u> (class 4C-Major penalty drug) found in test sample <u>E372276</u> taken from the <u>1st place finisher</u> "<u>Disco Hero</u>" following the 8th race, on May 31, 2020, at Ruidoso Downs Race Track.

The original lab report indicated a finding of **Dexamethasone** at 36.8 pg/ml +/- 0.6 pg/ml in the blood, the allowable limit for **Dexamethasone** is 5 pg/ml in the blood (15.2.6.9 Attachment 2). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to the trainer, Matin Orona Jr. and denied.

For Martin Orona Jr. 1st (first) Class 4C-Major medication violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows:

- 1. A fine of \$1,000.00 dollars (one thousand dollars) must be paid on or before August 13, 2020, a thirty day period.
  - 2. A fifteen day (15) suspension from July 14th thru July 28, 2020.
- 3. The horse "Disco Hero" must pass a commission approved examination before becoming eligible to be entered.
- 4. The horse "Disco Hero", is hereby disqualified from first (1st) place and ordered unplaced from the 8th race on May 31st, 2020 at

Ruidoso Downs Racetrack, for all but pari-mutual wageing. The purse is redistributed.

<u>Licensee is found in violation of and this ruling is written in accordance with: 15.2.5.14 D (7) Protests, Objections, and Inquiries</u> 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/15.2.6.11(A) 15.2.5.13 E (4) (d) Disqualification and purse distribution.

15.2.1.9(B)(9)(b):Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of a money order, cashier's check or a corporate check. Last date to appeal:

By Order of The Ruidoso Downs Stewards

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Ruling #:

2020-RUI-76

Track

**Ruidoso Downs** 

Ruling Date:

8/7/2020

Licensee:

ALDAVAZ, JR JAIME

License Type:

Applicant Id:

14158

License Number:

Ruling #

2020-RUI-76

Action Type:

**Initial Ruling** 

Ruling:

Trainer Jaime Aldavaz Jr. was duly notified and waived his right to ten day notice and his disciplinary hearing before the Board of Stewards on July 25, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Omeprazole Sulfide (class 5 penalty category D MINOR drug) found in test sample E372605 taken from the first place finisher "Jess Arrogant" following the 1st race, on July 4, 2020.

The certificate of analysis indicated a finding of Omeprazole Sulfide at 19.0 ng/ml  $\pm 1.7$  ng/ml at 10 ng/ml in the blood, which exceeds the allowable limit of 10.0 ug/ml in the blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Jaime Aldavaz Jr.

Jaime Aldavaz Jr., is the trainer of record for the horse "Jess Arrogant",

For Trainer, Jaime Aldavaz Jr. FIRST Class 5 D medication violation the Board of Stewards hereby issues a

- 1. A warning letter, per 15.2.6.9(B)(6)
- 2. There is no change to the official order of finish in the 1st race on July 4, 2020 at Ruidoso Downs Racetrack.

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/15.2.6.9(C)(1)Medication Restrictions/15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - //15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main commission

offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-77
Track Ruidoso Downs

Ruling Date: 8/7/2020

Licensee: SANDERSON, JANELL

License Type: 2020 1 Year Trainer Applicant Id: 35518

License Number: 297553

Ruling # 2020-RUI-77

Action Type: Initial Ruling

Ruling:

Trainer Janell Sanderson having been duly notified, appeared before the Ruidoso Downs Board of Stewards in the matter of hearing held in accordance with 15.2.1.9 (B)(1)(4) Due Process AND Disciplinary Action, before the Board of Stewards on August 3, 2020. This hearing, held in regard to a lab report from Industrial Laboratories (official testing laboratory for the NMSRC) for a confirmed positive for the prohibited, Class 1 Penalty A (reference Uniform classification guideline page 20) drug "Clenbuterol" detected in horse "Gabbis Mountain" (Test Sample #E372296), who finished 1st, by disqualification in the 5th race on June 5, 2020 at Ruidoso Downs Racetrack. Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded Trainer Janell Sanderson and Owner Jorge L Fernandez, with Confirmation provided @ 17.2 +/-2.4 pg/mL in urine by PA Equine Toxicology & Research Laboratory on 07/15/2020.

<u>Jorge L. Fernandez</u>, the owner of record for horse "<u>Gabbis Mountain</u>", was duly notified and was represented by Trainer Janell Sanderson. In accordance with <u>15.2.6.9 (B)(1) Penalty Recommendations</u>, this is <u>Jorge L. Fernandez' First Class 1 (A) medication violation in a lifetime.</u>

### **Aggravating Circumstance:**

• Class 1 drugs are drugs that have the highest potential to affect the performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency schedule II substances(Uniform Classification Guidelines Page iv)

# For Janell Sanderson's FIRST Class 1 Penalty A medication violation issued in accordance with 15.2.6.9 (B)(1) Penalty Recommendations, the Board of Stewards assessed a penalty as follows.

- 1. A 365 day suspension of all NMRC licenses starting July 22, 2021 through July 22, 2022 inclusive.
- 2. A (\$10,000) dollar fine to paid on or before August 21, 2020 in accordance with 15.2.3.8 (B)(3)(l). Failure to pay fine in prescribed time may result in an immediate suspension.
- 3. Pursuant to rules 15.2.3 (B)(9)(a)(e), 15.2.6.9(C)(7)(a), 15.2.6.9(C)(8), 15.2.6.9(C)(9)(a-d), the horse "Gabbis Mountain" is placed on the Stewards list for a period of 180 days (beginning August 7, 2020 thru February 3, 2021). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.
- 4. The horse "Gabbis Mountain" is hereby disqualified from FIRST PLACE and ordered unplaced from the 5th race on Racetrack at Ruidoso Downs, for all but pari-mutuel wagering. The purse redistribution is as follows.
  - 1. Jess Another Call
  - 2. Tanner Wales
  - 3. Vmr Cantador
  - 4. Big Eds Cartel
  - 5. Cartel Fashion
  - 6. A Good Thought
  - "Gabbis Mountain" is disqualified and declared unplaced

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and declared unplaced

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Ruling #:

2020-RUI-77

Track

**Ruidoso Downs** 

Ruling Date:

8/7/2020

Licensee:

SANDERSON, JANELL

License Type:

2020 1 Year Trainer

Applicant Id:

35518

License Number:

297553

During said time of applicable suspension, Janell Sanderson is hereby excluded from all areas of the grounds under the jurisdiction of the NMSRC, in accordance with 15.2.1.9 (C)(21) Exclusion. Additionally, effective upon applicable suspension dates, all horses owned or trained, or under the care of Janell Sanderson are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c) Exclusion.

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #: 2020-RUI-78
Track Ruidoso Downs

Ruling Date: 8/7/2020

Licensee: TIRADO, JUAN ALBERTO

License Type: 2017 3 Year Owner Applicant Id: 33642

License Number: 287102

Ruling # 2020-RUI-78

Action Type: Initial Ruling

Ruling:

Owner, (Rancho El Cabresto), Juan A. Tirado was represented by his Trainer, Tony Sedillo and waived his right to a ten day notice and his disciplinary hearing before the Board of Stewards on July 25, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Omeprazole Sulfide (class 5 penalty category D MINOR drug) found in test sample E372578 taken from the first place finisher "DISTNCTIVE DELIGHT" following the 5th race, on July 3, 2020.

The certificate of analysis indicated a finding of Omeprazole Sulfide at 44.0 ng/ml  $\pm 1.7$  ng/ml at 10 ng/ml in the blood, which exceeds the allowable limit of 10.0 ug/ml in the blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Tony E. Sedillo.

Tony E. Sedillo, is the trainer of record for the horse "DISTINCTIVE DELIGHT",

For Owner, Rancho El Cabresto, Inc. Juan Tirados' FIRST Class 5 D medication violation the Board of Stewards hereby issues a

- 1. A warning letter, per 15.2.6.9(B)(6)
- 2. There is no change to the official order of finish in the 5th race on July 3, 2020 at Ruidoso Downs Racetrack.

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/15.2.6.9(C)(1)Medication Restrictions/15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES - //15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission of the control of the ruling.

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-79

Track

Ruidoso Downs

Ruling Date:

8/8/2020

Licensee:

MADRID, ADRIAN E

License Type:

Applicant Id:

7184

License Number:

Ruling #

2020-RUI-79

**Action Type:** 

**Initial Ruling** 

Ruling:

Owner Adrian Madrid (DAM RACING) was duly notified, failed to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on August 7, 2020. The hearing was in regards to an outstanding financial obligation owed to IV/PICK'S Veterinary services in the amount of \$8,005.62 dollars. There was a court default judgement issued by the Twelfth Judicial District Court County of Otero for this outstanding financial obligation, principal as stated above with interest as of August 28, 2019 at the rate of 1.5% and its attorney's fee and cost in the amount of \$1,000.00 dollars

The Board of Stewards hereby order that any/all New Mexico Racing Commission licenses issued to **Adrian Madrid (DAM RACING)** be suspended starting **August 7, 2020** and that **he** be deemed ineligible for any future licensing in New Mexico until such time that full payment is made to **IV/PICK'S VETERINARY SERVICES.** 

During said time of applicable suspension <u>Adrian Madrid (Dam Racing)</u>. is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9 (C)(21)</u>. Additionally, effective upon applicable suspension dates, all horses <u>owned or under the care</u> of <u>Adrian Madrid (Dam Racing)</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>owned or under the care</u> of <u>Adrian Madrid (Dam Racing)</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited Substances</u>, 16.47.1.10 (D)(5)Assistant Trainers and <u>15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION</u>.

Licensee is found in Violation of and this ruling in written in accordance with:

16.47.1.8 L (1)(J) NMAC: Grounds For Refusal, Denial, Suspension, or Revocation of License

16.47.1.8 (I): Financial Responsibility

16.47.1.8 (L)(1)(i) - CONDUCT 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and/must be accompanied by after in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

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Ruling #:

2020-RUI-80

Track

Ruidoso Downs

Ruling Date:

8/8/2020

Licensee:

FERNANDEZ-MARTINEZ, JORGE L

License Type:

2019 3 Year Owner

Applicant Id: 35120

License Number: 293626

Ruling #

2020-RUI-80

Action Type:

**Initial Ruling** 

Ruling:

<u>Jorge L. Fernandez-Martinez</u>, the owner of record for horse "<u>Gabbis Mountain</u>", was duly notified and was represented by Trainer Janell Sanderson, in a hearing held on August 3, 2020. In accordance with <u>15.2.6.9</u> (<u>B)(1) Penalty Recommendations</u>. This is Owner, <u>Jorge L. Fernandez-Martinez First Class 1 (A)</u> medication violation in a lifetime.

#### Aggravating Circumstance:

• Class 1 drugs are drugs that have the highest potential to affect the performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency schedule II substances(Uniform Classification Guidelines Page iv)

For Owner/Jorge L. Fernandez-Martinez FIRST Class 1 Penalty A medication violation issued in accordance with 15.2.6.9 (B)(1) Penalty Recommendations, the Board of Stewards assessed a penalty as follows: Loss of Purse and Disqualification.

- 1. Pursuant to rules 15.2.3 (B)(9)(a)(e), 15.2.6.9(C)(7)(a), 15.2.6.9(C)(8), 15.2.6.9(C)(9)(a-d), the horse "Gabbis Mountain" is placed on the Stewards list for a period of 180 days (beginning August 7, 2020 thru February 3, 2021). During this period, the papers are to be removed from the racing office and horse is denied entry until he passes a commission approved veterinary examination with a negative blood and urine test.

  2. The horse "Gabbis Mountain" is hereby disqualified from FIRST PLACE and ordered unplaced from the 5th race on Racetrack at Ruidoso Downs on June 5,2020 for all but pari-mutuel wagering. The purse redistribution is as follows:
  - 1. Jess Another Call
  - 2. Tanner Wales
  - 3. Vmr Cantador
  - 4. Big Eds Cartel
  - 5. Cartel Fashion
  - 6. A Good Thought
  - "Gabbis Mountain" is disqualified and declared unplaced

By Order of The Ruidoso Downs Stewards

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Ruling #:

2020-RUI-81

Track

Ruidoso Downs

Ruling Date:

8/9/2020

Licensee:

MUNOZ, ORLANDO E.

License Type:

Applicant Id:

32679

License Number:

Ruling #

2020-RUI-81

Action Type:

**Initial Ruling** 

Ruling:

Owner: Orlando E. Munoz, having been duly notified and was represented by Trainer, Martin Orona Jr. telephonically, before the Ruidoso Downs Board of Stewards on August 3, 2020, regarding a lab report from Industrial Laboratories (The official testing laboratory for the NMRC), for a confirmed positive for the drug, Albuterol (class 1-, Penalty A) found in test sample E372348 taken from the 2nd place finisher "Universal Eagle" following the 6th race, on June 7th, 2020, at Ruidoso Downs Race Track. The original lab report indicated a finding of Albuterol in the urine (15.2.6.9 Attachment 2). Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to the trainer, and confirmed from TVMDL Veterinary laboratory at Texas A & M.

Martin Orona Jr is the trainer of record for horse "Universal Eagle"

For Orlando Munoz 1st Class 1 Penalty A violation, in a 365-day period, issued in accordance with 15.2.6.9 (B)(4), the Board of Stewards assessed a penalty as follows:

- 1. The horse "Universal Eagle" must pass a commission-approved examination before becoming eligible to be entered.
- 2. The horse "Universal Eagle" will be placed on the Stewards list for 180 days.
- 3. The horse "Universal Eagle", is hereby disqualified from (2nd) Second Place and ordered unplaced from the 6th race on June 7th, 2020 at Racetrack, for all but pari-mutuel wagering. The purse redistribution is as follows.
  - 1) Thisizit

- 6. Our Secret Legacy
- 2) Jm Southern Dominyun
- 7. Kiss My Whuut
- 3) Single Mined
- 8. Hp Win de Smith
- 4) Ramble It On
- 9. Moonflash Numbers

5) Fashy Jess

Unplaced "Universal Eagle"

Licensee is found in violation of and this ruling is written in accordance with: 15.2.5.14 D (7) Protests, Objections, and Inquiries 15.2.3.8(B)(1)(3)(f)(g)(j)Stewards/15.2.6.9(C)(1)(4)Medication Restrictions/ 15.2.6.11(A) 15.2.5.13 E (4) (d) Disqualification and purse distribution.

15.2.1.9(B)(9)(b): Appeal Procedures An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of a money order, cashier's check or a corporate check. Last date to appeal:

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal Onder this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #: 2020-RUI-82
Track Ruidoso Downs

Ruling Date: 8/9/2020

Licensee: COATES, JIMMY RAY

License Type: 2020 1 Year Jockey Applicant Id: 12424

License Number: 298801

Ruling # 2020-RUI-82

Action Type: Initial Ruling

Ruling:

Jockey <u>Jimmy Ray Coates</u>, having been duly notified <u>and represented by Luis H. Rodriguez</u> before the Board of Stewards on <u>August 9, 2020</u> striking his mount <u>"Cartels Joker"</u> multiple times in the belly while trying to load for <u>the 1st race on August 8, 2019 at Ruidoso Downs</u>

Racetrack.(Confirmed by video/presented for viewing by the general public)

For this rule violation, the Board of Stewards assessed <u>Jimmy Ray Coates</u>, a penalty in the amount of <u>one thousand dollars (1000.00) dollars</u>. The fine is to be paid on or before <u>September 8, 2020</u> in accordance with <u>15.2.3.8(B) (3) (l) NMAC</u>. Failure to pay the fine within the time prescribed will result in immediate suspension.

**Aggravating Factor**: Jockey Jimmy Ray Coates, upon notification by the Stewards of this violation telephonically, "I do this all the time", with two witnesses present.

#### Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

16.47.1.8(U)(2) General Provisions, Protection of horses: No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill orkill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care.

### This ruling is written in accordance with:

15.2.1.9(B)(4)(A)NMAC Notice Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.3.8 B (3) (f) Stewards Disciplinary Action 15.2.3.8 B (3) (A) Stewards Disciplinary Action 15.2.3.8 B (1) (d) Stewards General Authority 16.47.1.8. T. KNOWLEDGE OF RULES: 16.47.1.3 Statutory Authority 16.47.1.8 (A) (1) License Required 16.47.1.8 (A) (2) License Required

16.47.1.8(N) Last Known Address

15.2.1.9(b): Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of the Ruidoso Downs Sewaltas

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Ruling #:

2020-RUI-82

Track

**Ruidoso Downs** 

Ruling Date:

8/9/2020

Licensee:

COATES, JIMMY RAY

License Type:

2020 1 Year Jockey

Applicant Id:

12424

License Number:

298801

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-83

Track

Ruidoso Downs

Ruling Date:

8/10/2020

Licensee:

NIETO-HINOJOSA, APOLONIO

License Type:

2018 3 Year Jockey

Applicant Id:

34112

License Number:

292497

Ruling #

2020-RUI-83

Action Type:

**Initial Ruling** 

Ruling:

Jockey <u>Paul Nieto</u>, having been duly notified, appeared before the Board of Stewards on <u>August 9</u>, <u>2020</u> for striking his mount <u>"Give It Away"</u> in the face and about the eyes (confirmed by the trainer afterwards) in <u>the 4th race on August 8</u>, <u>2019 at Ruidoso Downs Racetrack</u>. Observation of this violation with video confirmation provided, aggravates consideration of an increased penalty. For this rule violation, the Board of Stewards assess <u>Apolonio (Paul) Nieto-Hinojosa</u>, a penalty in the amount of <u>one thousand dollars (1000.00) dollars</u>. Further, should any veterinary invoices/medication expenses occur for this horse he will be held liable. The fine is to be paid on or before <u>September 10</u>, <u>2020</u> in accordance with <u>15.2.3.8(B) (3) (I) NMAC</u>. Failure to pay the fine within the time prescribed will result in immediate suspension.

**Aggravating Factor**: Professional judgement dictates his knowledge/correct use of the riding crop. His denial of the violation versus admission of error, justifies an increase in penalty. Cruelty, mistreatment and/or abuse, has no justification for this licensee's actions.

#### Licensee is found to be in violation of:

15.2.5.13(E)(6)(e) Use of riding crops. Indiscriminate use of the whip is prohibited including whipping a horse: on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse; during the post parade or after the finish of the race except when necessary to control the horse; excessively or brutally causing welts or breaks in the skin; when the horse is clearly out of the race or has obtained its maximum placing; persistently even though the horse is showing no response under the riding crop; or striking another rider or horse.

16.47.1.8(U)(2) General Provisions, Protection of horses: No licensee or other person under the jurisdiction of the commission shall subject or permit any animal under their control, custody or supervision to be subjected to or to incur any form of cruelty, mistreatment, neglect or abuse or abandon, injure, maim or kill orkill or administer any noxious substance to or deprive any animal of necessary care or sustenance, shelter or veterinary care.

#### This ruling is written in accordance with:

15.2.1.9(B)(4)(A)NMAC Notice Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.3.8 B (3) (f) Stewards Disciplinary Action

15.2.3.8 B (3) (A) Stewards Disciplinary Action

15.2.3.8 B (1) (d) Stewards General Authority

16.47.1.8. T. KNOWLEDGE OF RULES:

16.47.1.3 Statutory Authority

16.47.1.8 (A) (1) License Required

16.47.1.8 (A) (2) License Required

16.47.1.8(N) Last Known Address

15.2.1.9(b): Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."



Ruling #: 2020-RUI-84 Track Ruidoso Downs

Ruling Date: 8/15/2020

Licensee: TAPIA, EMILIO MANUEL

License Type: 2019 3 Year Jockey Applicant Id: 30412

License Number: 293995

Ruling # 2020-RUI-84

Action Type: **Initial Ruling** 

Ruling:

Jockey Emilio Tapia was summoned and appeared before the Board of Stewards on August 10, 2020, for careless riding during the running of the 10th race on August 9th, 2020 at Ruidoso Downs racetrack while aboard "NOCHE BLANCA", which resulted in his mount being disqualified from THIRD (3rd) placed FIFTH (5th). Drifted out continously through out the stretch impeding the #6, (BIG DADDY MAGIC) and forcing him over a rivals heels causing her Jockey "Kelsi Purcell" to check sharply and alter course thus losing all chance. Revised order is as follows: #1,-#8,-#9,-#6,-# 5,-#2,-#4,-#3,-#7.

The Board of Stewards hereby assess Emilio Tapia a suspension of 7 calendar days (August 23, 2020, through August 29, 2020, inclusive The term of this suspension shall not prohibit participation in designated race days.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4) (a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission In but the

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-85

Track

**Ruidoso Downs** 

Ruling Date:

8/15/2020

Licensee:

GARCIA, JR NOE

License Type:

2018 3 Year Jockey

Applicant Id:

157715

License Number:

291034

Ruling #

2020-RUI-85

**Action Type:** 

**Initial Ruling** 

Ruling:

Jockey Noe Garcia Jr was summoned and appeared before the Board of Stewards on August 15, 2020, for careless riding during the running of the First (1st) race on August 14th, 2020 at Ruidoso Downs racetrack while aboard #6, "LV PUMP DADDY", which resulted in his mount being disqualified from Second (2nd) and placed Sixth (6th). The #6 (LV Pimp Daddy) drifted inward forcing the #5 "Big And Famous" into the path of the #1 "F S Lady Monterey" causing her rider "Kelsi Purcell" to check in order to avoid another rivals heels.

The Board of Stewards hereby assess Noe Garcia Jr. a suspension of 7 calendar days (August 23, 2020, through August 29, 2020, inclusive The term of this suspension shall not prohibit participation in designated race days.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)
(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-86

Track

**Ruidoso Downs** 

Ruling Date:

8/15/2020

Licensee:

CARRIZALES, SANTOS G.

License Type:

Applicant Id:

9452

License Number:

Ruling #

2020-RUI-86

Action Type:

**Initial Ruling** 

Ruling:

The Jockey's License (#293141) issued to Santos Carrizales is hereby rescinded without prejudice as he has applied for and has been licensed as a groom, before the Board of Stewards on August 15th, 2020, and stated he no longer works as a jockey.

### This ruling is in accordance with:

16.47.1.8 (M)(2)Duration Of License A license is valid only under the condition that the licensee remains eligible to hold such a license.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-87

Track

Ruidoso Downs

Ruling Date:

8/16/2020

Licensee:

CANTU, LEILA SELENE

License Type:

2019 3 Year Owner

Applicant Id:

161900

License Number: 293512

Ruling #

2020-RUI-87

Action Type:

Initial Ruling

Ruling:

Owner Leila S. Cantu was duly notified and was represented by her Trainer, Jaime Aldavaz Jr. who waived his right to ten day notice and his disciplinary hearing before the Board of Stewards on July 25, 2020, regarding a certificate of analysis from Industrial Laboratories (official testing laboratory for the NMRC). The certificate of analysis was for a confirmed positive for the therapeutic drug, Omeprazole Sulfide (class 5 penalty category D MINOR drug) found in test sample E372605 taken from the second (2nd) place finisher "Jess Arrogant" following the 1st race, on July 4, 2020.

The certificate of analysis indicated a finding of Omeprazole Sulfide at 19.0 ng/ml ±1.7 ng/ml at 10 ng/ml in the blood, which exceeds the allowable limit of 10.0 ug/ml in the blood.

Split sample rights as provided in 15.2.6.10 (D) NMAC, were afforded to and declined by the trainer Jaime Aldavaz Jr.

Leila Selene Cantu., is the Owner (Lic.# 293512) of record for the horse "Jess Arrogant",

For Owner, Leila Selene Cantus' FIRST Class 5 D medication violation the Board of Stewards hereby issues a

- 1. A warning letter, per 15.2.6.9(B)(6)
- 2. There is no change to the official order of finish in the 1st race on July 4, 2020 at Ruidoso Downs Racetrack.

Licensee is found to be in violation of and this ruling is written in accordance with: 15.2.3.8(B)(1)(3)(f)Stewards/ 15.2.6.9(C)(1)Medication Restrictions/ 15.2.6.9(B)Penalty Recommendations 15.2.6.9(C)(1-2-3) Prima Facie Evidence/15.2.6.9 (C)(3) PENALTY RECOMMENDATIONS 15.2.6.9 A (4)UNIFORM CLASSIFICATION GUIDELINES -//15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measu

By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-88

Track

Ruidoso Downs

Ruling Date:

8/24/2020

Licensee:

**GUTIERREZ, MANUEL** 

License Type:

2018 3 Year Jockey

Applicant Id:

31992

License Number:

288794

Ruling #

2020-RUI-88

Action Type:

**Initial Ruling** 

Ruling:

Jockey Manuel Gutierrez was summoned and appeared before the Board of Stewards on August 23, 2020, for careless riding during the running of the Seventh (7th) race on August 22nd, 2020 at Ruidoso Downs racetrack while aboard #7, "LOUISIANA BLUE FIRE", which resulted in his mount being disqualified from Seventh (7th) and placed Tenth (10th). The #7 "Louisiana Blue Fire" bore in sharply causing a chain re-action which knocked the #4 "Rivers Run Fast" out of contention.

The Board of Stewards hereby assess Manuel Gutierrez a suspension of 7 calendar days August 30, 2020, through September 5, 2020 inclusive. The term of this suspension shall not prohibit participation in designated race days.

Licensee is found in violation of and this ruling is written in accordance with:

15.2.5.13(E)(2)(a) Post to Finish A jockey shall not ride carelessly or willfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race."

15.2.5.13(E)(3)(d) Post to Finish In a straightaway race, every horse must maintain position as nearly as possible in the lane in which it starts. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, impedes or intimidates another horse, it is a foul and may result in the disqualification of the offending horse.

15.2.5.13(E)(3)(c) NMAC: Post to Finish 15.2.5.13(E)(3)(d) NMAC: Post to Finish 15.2.5.13(E)(4)

(a) NMAC Disqualification./15.2.1.9(B)(4)(A) Notice of Hearing for Jockeys/15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority /16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal

#### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stowards who issued the ruling.

offices, or with the Stewards who issued the ruling.



Ruling #:

2020-RUI-89

Track

Ruidoso Downs

Ruling Date:

8/24/2020

Licensee:

NOEL, BOBBY R

License Type:

Applicant Id:

29371

License Number:

Ruling #

2020-RUI-89

Action Type:

**Initial Ruling** 

Ruling:

Trainer, Bobby R. Noel Jr. (Lic. #56083587) was duly notified, failed to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on August 24th, 2020. The hearing was in regards to an outstanding financial obligation owed to IV/PICK'S Veterinary services in the amount of \$11,776.64 dollars. There was a court default judgement issued by the Twelfth Judicial District Court County of Otero for this outstanding financial obligation, principal as stated above with interest as of August 29, 2019 at the rate of 1.5% and its attorney's fee and cost in the amount of \$1,000.00 dollars

The Board of Stewards hereby order that any/all New Mexico Racing Commission licenses issued to **Bobby Noel Jr.** be suspended starting **August 24th, 2020** and that **he** be deemed ineligible for any future licensing in New Mexico until such time that full payment is made to **IV/PICK'S VETERINARY SERVICES.** 

During said time of applicable suspension <u>Bobby Noel Jr.</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9 (C)(21)</u>. Additionally, effective upon applicable suspension dates, all horses <u>owned or under the care of Bobby Noel</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>owned or under the care of Bobby Noel</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited Substances</u>, <u>16.47.1.10 (D)(5)Assistant Trainers</u> and <u>15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION</u>.

### <u>Licensee is found in Violation of and this ruling in written in accordance with:</u>

16.47.1.8 L (1)(J) NMAC: Grounds For Refusal, Denial, Suspension, or Revocation of License 16.47.1.8 (I): Financial Responsibility

16.47.1.8 (L)(1)(i) - CONDUCT 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal effects."

By Order of The Ruidoso Downs Stewards

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Ruling #: 2020-RUI-90
Track Ruidoso Downs
Ruling Date: 8/24/2020

Licensee: HARPER, DONALD WINGE

License Type: Applicant Id: 24823

License Number:

Ruling # 2020-RUI-90

Action Type: Initial Ruling

Ruling:

Trainer, Donald Harper (Lic. # 2855721) was duly notified, failed to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on August 24th, 2020. The hearing was in regards to an outstanding financial obligation owed to IV/PICK'S Veterinary services in the amount of \$ 4,050.27 dollars. There was a court default judgement issued by the Twelfth Judicial District Court County of Otero for this outstanding financial obligation, principal as stated above with interest as of August 28, 2019 at the rate of 1.5% and its attorney's fee and cost in the amount of \$1,000.00 dollars

The Board of Stewards hereby order that any/all New Mexico Racing Commission licenses issued to **Donald Harper** be suspended starting **August 24th, 2020** and that **he** be deemed ineligible for any future licensing in New Mexico until such time that full payment is made to **IV/PICK'S VETERINARY SERVICES.** 

During said time of applicable suspension <u>Donald Harper</u> is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with <u>15.2.1.9 (C)(21)</u>. Additionally, effective upon applicable suspension dates, all horses <u>owned or under the care of Donald Harper</u> are ineligible to be entered or start in any race in this jurisdiction, per <u>15.2.1.9 (C)(21)(c)</u>. All transfers and sales of horses <u>owned or under the care of Donald Harper</u> must be done in accordance with <u>15.2.6.9A(12)(a)(b) Medication and Prohibited Substances</u>, <u>16.47.1.10 (D)(5)Assistant Trainers</u> and <u>15.2.1.9(B)(8)(b) DUE PROCESS AND DISCIPLINARY ACTION</u>.

### Licensee is found in Violation of and this ruling in written in accordance with:

16.47.1.8 L (1)(J) NMAC: Grounds For Refusal, Denial, Suspension, or Revocation of License 16.47.1.8 (I): Financial Responsibility

16.47.1.8 (L)(1)(i) – CONDUCT 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidasa Downs Stewards

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Ruling #:

2020-RUI-91

Track

Ruidoso Downs

Ruling Date:

8/28/2020

Licensee:

CERVANTES, JR ADRIAN

License Type:

Applicant Id:

19713

License Number:

Ruling #

2020-RUI-91

**Action Type:** 

**Initial Ruling** 

Ruling:

The Exercise License # (297305) issued to Adrian Cervanters Jr. is hereby rescinded without prejudice as he has applied for and has been licensed as a Jockey, before the Board of Stewards on August 28th, 2020, and stated he no longer works as an exercise rider.

This ruling is in accordance with:

16.47.1.8 (M)(2)Duration Of License A license is valid only under the condition that the licensee remains eligible to hold such a license.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Ruidoso Downs Stewards



Ruling #:

2020-RUI-92

Track

**Ruidoso Downs** 

Ruling Date:

8/30/2020

Licensee:

GOMEZ, REYES MANUEL

License Type:

2018 3 Year Jockey

Applicant Id:

86400

License Number:

289615

Ruling #

2020-RUI-92

Action Type:

**Initial Ruling** 

Ruling:

Jockey Gomez, Reyes Manuel was summoned and failed to appear, respond, or send a jockey guild's representative for a hearing before the Board of Stewards on August 30, 2020. The hearing was regarding a failure to appear for films on August 30, 2020 in the Stewards office at Ruidoso Downs. Film review for race 5 @ Ruidoso Downs on August 29, 2020 for a disqualification of his mount Stellar Return from 6th place and placed ninth(9th) for impeding the #7 in the late stretch run. The Board of Stewards hereby fine Reyes Manuel Gomez in the amount of one hundred (\$100.00) dollars, for failure to appear. First Violation in this category in 365 days. The fine is to be paid on or before September 29, 2020 in accordance with 15.2.3.8(B)(3)(k). Failure to pay the fine within the time prescribed will result in immediate suspension.

### Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/

#### By Order of The Ruidoso Downs Stewards



Ruling #:

2020-RUI-93

Track

**Ruidoso Downs** 

Ruling Date:

8/30/2020

Licensee:

RODRIGUEZ-GARCIA, PAUL OSVALDO

License Type:

2020 3 Year Jockey

Applicant Id:

161655

License Number:

297188

Ruling #

2020-RUI-93

Action Type:

**Initial Ruling** 

Ruling:

Jockey Rodriguez-Garcia, Paul Osvaldo was summoned and failed to appear, respond, or send a jockey guild's representative for a hearing before the Board of Stewards on August 30, 2020. The hearing was regarding a failure to appear for films on August 30, 2020 in the Stewards office at Ruidoso Downs. Film review for race 5 @ Ruidoso Downs on August 29, 2020 for a disqualification of his mount Double Down On Me from 4th place and placed eighth (8th) for impeding the #7 at the start and again in deep stretch approaching the wire.

The Board of Stewards hereby fines <u>Paul Garcia</u> in the amount of <u>two hundred fifty</u> (<u>\$250.00</u>) <u>dollars, for failure to appear.</u> This is the third (3rd) violation in this category for this licensee. The fine is to be paid on or before <u>September 29, 2020</u> in accordance with 15.2.3.8(B)(3)(k). Failure to pay the fine within the time prescribed will result in immediate suspension.

#### Licensee is found in violation of and this ruling is written in accordance with:

15.2.1.9(B)(4)(d) Nonappearance Of A Summoned Party Nonappearance of a summoned party after adequate notice shall be construed as a waiver of the right to a hearing before the board of stewards.

15.2.1.9(B)(4)(A) Notice Of Hearing- Jockey Except as provided by these rules regarding summary suspension, jockey riding infractions and trial races, the stewards or a racing commission designee shall provide written notice, at least 10 days before the hearing, to a person who is the subject of a disciplinary hearing. The person may waive their right to 10 days notice by executing a written waiver.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/ 15.2.3.8 B (1) (a)(c)(d)Stewards General Authority /16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required/ 16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9) (a)Failure to Appeal/

By Order of The Ruidoso Downs Stewards



Ruling #:

2020-RUI-94

Track

Ruidoso Downs

Ruling Date:

8/31/2020

Licensee:

VAZQUEZ, JUAN L

License Type:

2020 1 Year Assistant Applicant Id:

plicant Id: 157643

License Number: 298389

Ruling #

2020-RUI-94

Action Type:

Initial Ruling

Ruling:

The Assistant Trainers' License (298389) issued to Juan Vazquez is hereby rescinded without prejudice as he has applied for and has been licensed as a Traineer, before the Board of Stewards on August 31st, 2020, and stated he no longer works as an Assistant Trainer.

### This ruling is in accordance with:

16.47.1.8 (M)(2)Duration Of License A license is valid only under the condition that the licensee remains eligible to hold such a license.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

### By Order of The Ruidoso Downs Stewards

Appeal Filing Deadline: 15.2.1.9(B) (9) (b) NMAC: Which states in pertinent part that "An appeal under this section must be filed not later than 10 days from the date of the ruling. The appeal must be filed at the main Commission offices, or with the Stewards who issued the ruling.

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Ruling #:

2020-RUI-95

Track

Ruidoso Downs

Ruling Date:

8/31/2020

Licensee:

RAMOS, CHRISTIAN

License Type:

2020 1 Year Exercise P Applicant Id:

License Number: 298321

163067

Ruling #

2020-RUI-95

Action Type:

Initial Ruling

Ruling:

The Exercise persons' License (298321) issued to Christian Ramos is hereby rescinded without prejudice as he has applied for and has been licensed as a Apprentice Jockey, before the Board of Stewards on August 31st, 2020, and stated he no longer works as an Exercise person.

### This ruling is in accordance with:

16.47.1.8 (M)(2)Duration Of License A license is valid only under the condition that the licensee remains eligible to hold such a license.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/ 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

#### By Order of The Ruidoso Downs Stewards



Ruling #:

2020-RUI-96

Track

Ruidoso Downs

Ruling Date:

9/3/2020

Licensee:

RIOS, JULIO CESAR

License Type:

Applicant Id:

157515

License Number:

Ruling #

2020-RUI-96

Action Type:

Initial Ruling

Ruling:

Owner, Julio Cesar Rios was duly notified, failed to appear, and had his hearing held in absentia, in accordance with 15.2.1.9(B)(4)(d)non-appearance, before the Board of Stewards on August 31st, 2020. The hearing was in regards to an outstanding financial obligation owed to IV/PICK'S Veterinary services in the amount of \$ 5,284.45 dollars. There was a court default judgement issued by the Twelfth Judicial District Court County of Otero for this outstanding financial obligation, principal as stated above with interest as of August 30, 2019 at the rate of 1.5% and its attorney's fee and cost in the amount of \$1,000.00 dollars, together with post cost-judgement interest at the rate of 1.5% per month.

The Board of Stewards hereby order that any/all New Mexico Racing Commission licenses issued to Julio Cesar Rios be suspended as of August 31, 2020 and that he be deemed ineligible for any future licensing in New Mexico until such time that full payment is made to IV/PICK'S VETERINARY SERVICES.

During said time of applicable suspension Julio Cesar Rios is hereby excluded from all areas of the grounds under the jurisdiction of the NMRC, in accordance with 15.2.1.9 (C)(21). Additionally, effective upon applicable suspension dates, all horses owned or under the care of Julio Cesar Rios are ineligible to be entered or start in any race in this jurisdiction, per 15.2.1.9 (C)(21)(c).

#### Licensee is found in Violation of and this ruling in written in accordance with:

16.47.1.3 L (1)(J) NMAC: Grounds For Refusal, Denial, Suspension, or Revocation of License 16.47.1.8 (1): Financial Responsibility

16.47.1.8 (L)(1)(i) - CONDUCT 15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 3 (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES/16.47.1.3 Statutory Authority 16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Last Known Address/ 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a)Failure to Appeal/15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check."

By Order of The Ruidos Downs Stewards



Ruling #:

2020-RUI-97

Track

Ruidoso Downs

Ruling Date:

9/5/2020

Licensee:

SMITH, PAUL ALLEN

License Type:

2019 3 Year Trainer

Applicant Id:

5353

License Number: 293353

Ruling #

2020-RUI-97

Action Type:

Initial Ruling

Ruling:

Trainer Paul A. Smith, was duly notified and appeared before the Ruidoso Board of Stewards on Friday, September 04, 2020. Paul Smith waived hearing in the matter of entering the horse SHELL GAME in the fourth(4th) race at Ruidoso Downs on Friday, September 4, 2020. Shell Game, a 2 year old thoroughbred filly Reg. #1822190 was micro-chipped, but was not TRPB verified before entry. The Ruidoso Board of Stewards find that Trainer Paul A. Smith is in violation of NMRC rule(s):

#### 15.2.5.12 HORSES INELIGIBLE:

(3) ... if a thoroughbred foaled in 2018 or thereafter, is not micro chipped with a unique microchip (ISO11784), freeze brand or identified by another method approved by the breed registry and commission.

### For this violation, the Board of Stewards assess Paul A. Smith

1. A fine in the amount of two hundred dollars, (\$200.00). The fine is to be paid on or before October 5, 2020 in accordance with 15.2.3.8 (B)(3)(1) NMAC. Failure to pay the fine within the time prescribed may result in immediate suspension.

### Licensee is found to be in violation of and this ruling is written in accordance with:

16.47.1.10 (C) (18) Trainers are responsible for horses entered as to eligibility and weight or other allowances claimed. 12.2.5.12(V) A horse shall be ineligible to start in a race when it does not meet the eligibility conditions of the race.

15.2.1.8(B)(1) COMMISSION GENERAL AUTHORITY/15.2.3.8 B (3)(a)(f) Stewards Disciplinary Action/15.2.3.8 B (1) (a)(c)(d)Stewards General Authority/16.47.1.8 (T) KNOWLEDGE OF RULES /16.47.1.3 Statutory Authority

16.47.1.8 (A)(1)(2) License Required /16.47.1.8(N) Changes In Application Information / 16.47.1.8(L)(1)(e) Grounds For Disciplinary Measures/ 15.2.1.9(B)(9)(a) Failure to Appeal / 15.2.1.9(B)(9)(b): Appeal Procedures Which states in pertinent part that "An appeal under this section must be filed not later than 10 days after the date of the ruling. If the deadline falls on a Saturday, Sunday or legal holiday, the period is extended to include the next day that is not a Saturday, Sunday or legal holiday. The appeal must be received by noon, at the main commission offices or with the stewards who issued the ruling and must be accompanied by a fee in the amount of \$500. The fee must be in the form of cash, a cashier's check, money order or personal check.

#### By Order of The Ruidoso Downs Stewards